

ICPSR 4674

Census of Juveniles in Residential Placement, 1999 [United States]

United States Department of Justice. Office of Justice Programs. Office of Juvenile Justice and Delinquency Prevention

Codebook





Terms of Use

The terms of use for this study can be found at: http://www.icpsr.umich.edu/icpsrweb/ICPSR/studies/4674/terms

Information about Copyrighted Content

Some instruments administered as part of this study may contain in whole or substantially in part contents from copyrighted instruments. Reproductions of the instruments are provided as documentation for the analysis of the data associated with this collection. Restrictions on "fair use" apply to all copyrighted content. More information about the reproduction of copyrighted works by educators and librarians is available from the United States Copyright Office.

NOTICE WARNING CONCERNING COPYRIGHT RESTRICTIONS

The copyright law of the United States (Title 17, United States Code) governs the making of photocopies or other reproductions of copyrighted material. Under certain conditions specified in the law, libraries and archives are authorized to furnish a photocopy or other reproduction. One of these specified conditions is that the photocopy or reproduction is not to be "used for any purpose other than private study, scholarship, or research." If a user makes a request for, or later uses, a photocopy or reproduction for purposes in excess of "fair use," that user may be liable for copyright infringement.

ICPSR CODEBOOK NOTES

1) Due to the sensitive nature of the data and to protect respondent confidentiality, the data are restricted from general dissemination. Users interested in utilizing these data must complete an Application for Use of the ICPSR Data Enclave. Information about the ICPSR Data Enclave and the application for use can be found under Enclave Data on the ICPSR Web site. Researchers can also download a copy of the Application for Use of the ICPSR Data Enclave as a Portable Document (PDF) file from the download page associated with this dataset.

Completed forms should be returned to: Director, National Archive of Criminal Justice Data, Inter-university Consortium for Political and Social Research, Institute for Social Research, P.O. Box 1248, University of Michigan, Ann Arbor, MI 48106-1248, or by fax: 734-647-8200.

- 2) Individual years of data from the Census of Juveniles in Residential Placement (CJRP) and Juvenile Residential Facility Census (JRFC), a compliment to the CJRP data, are available through enclave access. Users interested in utilizing the enclave data must complete an Application for Use of the ICPSR Data Enclave. Additional data in the CJRP Series, JRFC Series, and the Matched CJRP/JRFC Series will be made available in March 2012 through the National Archive of Criminal Justice Data's Restricted Survey Documentation and Analysis (RSDA) system. Users interested in accessing these data through NACJD's RSDA system must complete a RSDA Data Use Agreement.
- 3) Various facilities were not able to provide all the information requested in the questionnaire for all juveniles meeting CJRP inclusion criteria. Some records have had data imputed for one or more variables because information was missing. Data were imputed from complete records to fill in incomplete records. Therefore, reported CJRP estimates regarding the characteristics of juveniles in custody may differ from their actual characteristics.

For CJRP 1999, the enclave data contain 18 imputation flag variables that identify whether a record in a particular variable represents imputed data or was not imputed. Each imputation flag variable is designated by an appended "_F" in the variable name and contains "FLAG" in the variable label. Users should be aware that the imputation flag variables are only available through Enclave Access and are not available via the RSDA system.

4) By statue and regulation, the Office of Juvenile Justice and Delinquency Prevention (OJJDP) must protect the privacy of individuals included in its surveys. CJRP and JRFC data are only to be used for research and statistical purposes.

In the case of CJRP, OJJDP must assure that no juvenile can be identified from publicly available data, either tabular or electronic. To comply with this requirement, OJJDP has adopted a policy that requires all published table cells involving State level data be rounded to the nearest multiple of three. The table cells are rounded after the table has been produced from the underlying data. Each cell is rounded independently, without consideration to row or column totals. As a result, in many

tables the internal cells will not add to the marginal totals. Rates and percentages presented from CJRP are based on rounded totals as well. More detail on OJJDP's privacy protection policy is available in "Disclosure Control in the Census of Juveniles in Residential Placement" prepared by Joseph Moone, OJJDP Program Manager, which is included as an appendix to this codebook.

- 5) Most variables in the dataset correspond to questions in the original 1999 Census of Juveniles in Residential Placement questionnaire (form CJ-14), which is available through the "Browse Documentation" page for this study. The variable names in the data generally correspond to both the section and question numbers in the original questionnaire. For instance, the variable SIIQ5 corresponds to question 5 ("Which one of the following placed this person at this facility?") in Section II Person Level Data. The data also contain a few administrative and miscellaneous variables that do not directly correspond to items in the CJ-14 form.
- 6) The CJRP reference date was generally the fourth Wednesday in October. However, the 1997 CJRP reference date was October 29, 1997, which was the fifth Wednesday of the month. Additionally, a set of unforeseen circumstances prevented the 2005 mailout from taking place in October of that year. The census date for that wave of data collection was February 22, 2006.
- 7) For each dataset in the <u>CJRP Series</u> available through enclave access, ICPSR created the RECORDID "UNIQUE RECORD IDENTIFIER CREATED BY ICPSR" variable, which is a unique identifier for each line (i.e. record) in that data file. While the individual years of CJRP data that are accessible through the ICPSR Data Enclave can be concatenated into a single file with some data modifications, the enclave files cannot be linked using the RECORDID variable.
- 8) Due to limitations in certain versions of some statistical software programs, ICPSR prepended values to value labels in the following variables in order to render the first 16 characters of each value label unique:

Variable Name	Variable Label
SIIQ7	MOST SERIOUS OFFENSE
SIIQ9	COURT ADJUDICATION STATUS
SIIQ10YY	ADMISSION DATE - YEAR

- 9) Users should be aware that this data collection may contain undocumented codes. In most instances, these codes were not labeled by the principal investigators nor were they labeled by ICPSR. For select variables, ICPSR assigned the label of "Undocumented code" to codes for which value labels were not available.
- 10) ICPSR recoded blanks in numeric variables to -9 and labeled them Blank.
- 11) Additional information about the CJRP and other national juvenile corrections data collections sponsored by OJJDP is available from the National Juvenile Corrections Data Resource Guide.

ICPSR 04674

Census of Juveniles in Residential Placement, 1999 [United States]

Variable Description and Frequencies

Note: Frequencies displayed for the variables are not weighted. They are purely descriptive and may not be representative of the study population. Please review any sampling or weighting information available with the study.

Summary statistics (minimum, maximum, mean, median, and standard deviation) may not be available for every variable in the codebook. Conversely, a listing of frequencies in table format may not be present for every variable in the codebook either. However, all variables in the dataset are present and display sufficient information about each variable. These decisions are made intentionally and are at the discretion of the archive producing this codebook.

Census of Juveniles in Residential Placement, 1999 [United States]

RECORDID UNIQUE RECORD IDENTIFIER CREATED BY ICPSR

Location: 1-6 (width: 6; decimal: 0)

Variable Type: numeric

Based upon 108406 valid cases out of 108406 total cases.

Mean: 54203.50Minimum: 1.00Maximum: 108406.00

• Maximum. 100400.00

• Standard Deviation: 31294.26

ID FACILITY IDENTIFICATION NUMBER

Location: 7-27 (width: 21; decimal: 0)

Variable Type: character

Based upon 108406 valid cases out of 108406 total cases.

STATE STATE

Location: 28-29 (width: 2; decimal: 0)

Variable Type: numeric

Value	Label	Unweighted Frequency	%
1	Alabama	1637	1.5 %
2	Alaska	390	0.4 %
3	Arizona	2172	2.0 %
4	Arkansas	679	0.6 %
5	California	19005	17.5 %
6	Colorado	1835	1.7 %
7	Connecticut	819	0.8 %
8	Delaware	276	0.3 %
9	D.C.	253	0.2 %
10	Florida	6890	6.4 %
11	Georgia	3753	3.5 %
12	Hawaii	115	0.1 %
13	Idaho	352	0.3 %
14	Illinois	3855	3.6 %
15	Indiana	2590	2.4 %
16	Iowa	1133	1.0 %
17	Kansas	1278	1.2 %
18	Kentucky	1200	1.1 %
19	Louisiana	2763	2.5 %
20	Maine	249	0.2 %

Value	Label	Unweighted Frequency	%
21	Maryland	1650	1.5 %
22	Massachusetts	1301	1.2 %
23	Michigan	3866	3.6 %
24	Minnesota	1713	1.6 %
25	Mississippi	784	0.7 %
26	Missouri	1436	1.3 %
27	Montana	300	0.3 %
28	Nebraska	637	0.6 %
29	Nevada	777	0.7 %
30	New Hampshire	220	0.2 %
31	New Jersey	2391	2.2 %
32	New Mexico	874	0.8 %
33	New York	4911	4.5 %
34	North Carolina	1447	1.3 %
35	North Dakota	238	0.2 %
36	Ohio	4566	4.2 %
37	Oklahoma	1199	1.1 %
38	Oregon	1575	1.5 %
39	Pennsylvania	5245	4.8 %
40	Rhode Island	340	0.3 %
41	South Carolina	1659	1.5 %
42	South Dakota	801	0.7 %
43	Tennessee	1868	1.7 %
44	Texas	8187	7.6 %
45	Utah	1179	1.1 %
46	Vermont	76	0.1 %
47	Virginia	3088	2.8 %
48	Washington	2138	2.0 %
49	West Virginia	333	0.3 %
50	Wisconsin	2009	1.9 %
51	Wyoming	354	0.3 %

Based upon 108406 valid cases out of 108406 total cases.

Mean: 23.53Median: 23.00Mode: 5.00Minimum: 1.00Maximum: 51.00

• Standard Deviation: 15.53

TYPE TYPE

Location: 30-30 (width: 1; decimal: 0)

Variable Type: numeric

Value	Label	Unweighted Frequency	%
0	State	47349	43.7 %
1	County	26425	24.4 %
2	City	2435	2.2 %
4	Special district	46	0.0 %
7	Tribal agencies	174	0.2 %
8	Private	31977	29.5 %

Based upon 108406 valid cases out of 108406 total cases.

Mean: 2.66Median: 1.00Mode: 0.00Minimum: 0.00Maximum: 8.00

Standard Deviation: 3.49

COUNTY COUNTY

Location: 31-33 (width: 3; decimal: 0)

Variable Type: numeric

Based upon 108406 valid cases out of 108406 total cases.

Mean: 21.85
Median: 7.00
Mode: 0.00
Minimum: 0.00
Maximum: 250.00

• Standard Deviation: 31.74

UNIT UNIT

Location: 34-36 (width: 3; decimal: 0)

Variable Type: numeric

Based upon 108406 valid cases out of 108406 total cases.

Mean: 9.90
Median: 0.00
Mode: 0.00
Minimum: 0.00
Maximum: 701.00
Standard Deviation: 34.67

SECTOR SECTOR

Location: 37-37 (width: 1; decimal: 0)

Variable Type: numeric

ValueUnweighted Frequency%5108406100.0 %

Based upon 108406 valid cases out of 108406 total cases.

Mean: 5.00Median: 5.00Mode: 5.00Minimum: 5.00Maximum: 5.00

Standard Deviation: 0.00

AGENCY AGENCY

Location: 38-42 (width: 5; decimal: 0)

Variable Type: numeric

Based upon 108406 valid cases out of 108406 total cases.

Mean: 33681.49Minimum: 100.00Maximum: 76000.00

Standard Deviation: 23194.63

CRCODE CRCODE

Location: 43-45 (width: 3; decimal: 0)

Variable Type: numeric

Based upon 108406 valid cases out of 108406 total cases.

Mean: 78.21
Minimum: 0.00
Maximum: 704.00

· Standard Deviation: 165.79

CRFAC CRFAC

Location: 46-47 (width: 2; decimal: 0)

Variable Type: numeric

Based upon 108406 valid cases out of 108406 total cases.

Mean: 4.96Median: 2.00

Mode: 0.00Minimum: 0.00Maximum: 62.00

• Standard Deviation: 8.21

SIQ1 ADDRESS CORRECT

Location: 48-48 (width: 1; decimal: 0)

Variable Type: numeric Range of Missing Values (M): 8, 9

Value	Label	Unweighted Frequency	%
0	No	17494	16.1 %
1	Yes	83398	76.9 %
8 (M)	Refusal	7514	6.9 %

Based upon 100892 valid cases out of 108406 total cases.

Mean: 0.83Median: 1.00Mode: 1.00Minimum: 0.00Maximum: 1.00

• Standard Deviation: 0.38

SIQ2A PART OF LARGER AGENCY

Location: 49-49 (width: 1; decimal: 0)

Variable Type: numeric Range of Missing Values (M): 8, 9

Value	Label	Unweighted Frequency	%
0	No	14500	13.4 %
1	Yes	87467	80.7 %
8 (M)	Refusal	6439	5.9 %

Based upon 101967 valid cases out of 108406 total cases.

Mean: 0.86
Median: 1.00
Mode: 1.00
Minimum: 0.00
Maximum: 1.00

Standard Deviation: 0.35

SIQ2B NAME OF LARGER AGENCY

Location: 50-89 (width: 40; decimal: 0)

Variable Type: character

Based upon 108406 valid cases out of 108406 total cases.

SIQ3A ON-SITE RESIDENTIAL TREATMENT PROVIDED

Location: 90-90 (width: 1; decimal: 0)

Variable Type: numeric Range of Missing Values (M): 8, 9

Value	Label	Unweighted Frequency	%
0	No	26361	24.3 %
1	Yes	81237	74.9 %
8 (M)	Refusal	808	0.7 %

Based upon 107598 valid cases out of 108406 total cases.

Mean: 0.76Median: 1.00Mode: 1.00Minimum: 0.00Maximum: 1.00

Standard Deviation: 0.43

SIQ3B01 MENTAL HEALTH TREATMENT

Location: 91-91 (width: 1; decimal: 0)

Variable Type: numeric Range of Missing Values (M): 8 , 9

Value	Label	Unweighted Frequency	%
0	No	48754	45.0 %
1	Yes	59652	55.0 %

Based upon 108406 valid cases out of 108406 total cases.

Mean: 0.55Median: 1.00Mode: 1.00Minimum: 0.00Maximum: 1.00

Standard Deviation: 0.50

SIQ3B02 SUBSTANCE ABUSE TREATMENT

Location: 92-92 (width: 1; decimal: 0)

Variable Type: numeric

Range of Missing Values (M):

8,9

Value	Label	Unweighted Frequency	%
0	No	48522	44.8 %
1	Yes	59884	55.2 %

Based upon 108406 valid cases out of 108406 total cases.

Mean: 0.55Median: 1.00Mode: 1.00Minimum: 0.00Maximum: 1.00

Standard Deviation: 0.50

SIQ3B03 SEX OFFENDER TREATMENT

Location: 93-93 (width: 1; decimal: 0)

Variable Type: numeric Range of Missing Values (M): 8,9

Value	Label	Unweighted Frequency	%
0	No	70553	65.1 %
1	Yes	37853	34.9 %

Based upon 108406 valid cases out of 108406 total cases.

Mean: 0.35Median: 0.00Mode: 0.00Minimum: 0.00Maximum: 1.00

• Standard Deviation: 0.48

SIQ3B04 TREATMENT FOR ARSONISTS

Location: 94-94 (width: 1; decimal: 0)

Variable Type: numeric Range of Missing Values (M): 8 , 9

Value	Label	Unweighted Frequency	%
0	No	93514	86.3 %
1	Yes	14892	13.7 %

Based upon 108406 valid cases out of 108406 total cases.

• Mean: 0.14

Median: 0.00Mode: 0.00Minimum: 0.00Maximum: 1.00

Standard Deviation: 0.34

SIQ3B05 TREATMENT FOR VIOLENT OFFENDERS

Location: 95-95 (width: 1; decimal: 0)

Variable Type: numeric Range of Missing Values (M): 8,9

Value	Label	Unweighted Frequency	%
0	No	77191	71.2 %
1	Yes	31215	28.8 %

Based upon 108406 valid cases out of 108406 total cases.

Mean: 0.29Median: 0.00Mode: 0.00Minimum: 0.00Maximum: 1.00

Standard Deviation: 0.45

SIQ3B06 OTHER TREATMENT

Location: 96-96 (width: 1; decimal: 0)

Variable Type: numeric Range of Missing Values (M): 8, 9

Value	Label	Unweighted Frequency	%
0	No	83866	77.4 %
1	Yes	24540	22.6 %

Based upon 108406 valid cases out of 108406 total cases.

Mean: 0.23Median: 0.00Mode: 0.00Minimum: 0.00Maximum: 1.00

• Standard Deviation: 0.42

SIQ3BOS OTHER SPECIFY

Location: 97-116 (width: 20; decimal: 0)

Variable Type: character

Based upon 108406 valid cases out of 108406 total cases.

SIQ4 FOSTER CARE

Location: 117-117 (width: 1; decimal: 0)

Variable Type: numeric Range of Missing Values (M): 8

Value	Label	Unweighted Frequency	%
0	Undocumented code	2	0.0 %
1	Yes, for all	2973	2.7 %
2	Yes, for some	5808	5.4 %
3	No	92056	84.9 %
8 (M)	Refusal	7567	7.0 %

Based upon 100839 valid cases out of 108406 total cases.

Mean: 2.88Median: 3.00Mode: 3.00Minimum: 0.00Maximum: 3.00

Standard Deviation: 0.40

SIQ5 INDEPENDENT LIVING

Location: 118-118 (width: 1; decimal: 0)

Variable Type: numeric Range of Missing Values (M): 8

Value	Label	Unweighted Frequency	%
0	No	90584	83.6 %
1	Yes	10225	9.4 %
8 (M)	Refusal	7597	7.0 %

Based upon 100809 valid cases out of 108406 total cases.

Mean: 0.10
Median: 0.00
Mode: 0.00
Minimum: 0.00
Maximum: 1.00

Standard Deviation: 0.30

SIQ6T01 DETENTION CENTER

Location: 119-119 (width: 1; decimal: 0)

Variable Type:

numeric

Value	Label	Unweighted Frequency	%
0	No	74093	68.3 %
1	Yes	34313	31.7 %

Based upon 108406 valid cases out of 108406 total cases.

Mean: 0.32Median: 0.00Mode: 0.00Minimum: 0.00Maximum: 1.00

• Standard Deviation: 0.47

SIQ6T02

TRAINING SCHOOL/LONG-TERM

Location:

120-120 (width: 1; decimal: 0)

Variable Type:

numeric

Value	Label	Unweighted Frequency	%
0	No	67782	62.5 %
1	Yes	40624	37.5 %

Based upon 108406 valid cases out of 108406 total cases.

Mean: 0.37Median: 0.00Mode: 0.00Minimum: 0.00Maximum: 1.00

• Standard Deviation: 0.48

SIQ6T03

RECEPTION/DIAGNOSTIC

Location:

121-121 (width: 1; decimal: 0)

Variable Type:

numeric

Value	Label	Unweighted Frequency	%
0	No	100129	92.4 %
1	Yes	8277	7.6 %

Based upon 108406 valid cases out of 108406 total cases.

Mean: 0.08Median: 0.00Mode: 0.00

Minimum: 0.00Maximum: 1.00

· Standard Deviation: 0.27

SIQ6T04 HALFWAY HOUSE

Location: 122-122 (width: 1; decimal: 0)

Variable Type: numeric

Value	Label	Unweighted Frequency	%
0	No	106595	98.3 %
1	Yes	1811	1.7 %

Based upon 108406 valid cases out of 108406 total cases.

Mean: 0.02Median: 0.00Mode: 0.00Minimum: 0.00Maximum: 1.00

• Standard Deviation: 0.13

SIQ6T05 GROUP HOME

Location: 123-123 (width: 1; decimal: 0)

Variable Type: numeric

Va	lue	Label	Unweighted Frequency	%
0		No	97615	90.0 %
1		Yes	10791	10.0 %

Based upon 108406 valid cases out of 108406 total cases.

Mean: 0.10Median: 0.00Mode: 0.00Minimum: 0.00Maximum: 1.00

• Standard Deviation: 0.30

SIQ6T06 BOOT CAMP

Location: 124-124 (width: 1; decimal: 0)

Variable Type: numeric

V	'alue	Label	Unweighted Frequency	%
0		No	104950	96.8 %

Value	Label	Unweighted Frequency	%
1	Yes	3456	3.2 %

Based upon 108406 valid cases out of 108406 total cases.

Mean: 0.03Median: 0.00Mode: 0.00Minimum: 0.00Maximum: 1.00

Standard Deviation: 0.18

SIQ6T07

RANCH, FORESTRY CAMP

Location:

125-125 (width: 1; decimal: 0)

Variable Type:

numeric

Value	Label	Unweighted Frequency	%
0	No	99485	91.8 %
1	Yes	8921	8.2 %

Based upon 108406 valid cases out of 108406 total cases.

Mean: 0.08Median: 0.00Mode: 0.00Minimum: 0.00Maximum: 1.00

• Standard Deviation: 0.27

SIQ6T08

RUNAWAY/HOMELESS SHELTER

Location:

126-126 (width: 1; decimal: 0)

Variable Type:

numeric

Value	Label	Unweighted Frequency	%
0	No	107224	98.9 %
1	Yes	1182	1.1 %

Based upon 108406 valid cases out of 108406 total cases.

Mean: 0.01Median: 0.00Mode: 0.00Minimum: 0.00Maximum: 1.00

Standard Deviation: 0.10

SIQ6T09 OTHER TYPE OF SHELTER

Location: 127-127 (width: 1; decimal: 0)

Variable Type: numeric

Value	Label	Unweighted Frequency	%
0	No	105772	97.6 %
1	Yes	2634	2.4 %

Based upon 108406 valid cases out of 108406 total cases.

Mean: 0.02Median: 0.00Mode: 0.00Minimum: 0.00Maximum: 1.00

• Standard Deviation: 0.15

SIQ6T10 OTHER

Location: 128-128 (width: 1; decimal: 0)

Variable Type: numeric

Range of Missing Values (M): 8

Value	Label	Unweighted Frequency	%
0	No	90063	83.1 %
1	Yes	18342	16.9 %
8 (M)	Refusal	1	0.0 %

Based upon 108405 valid cases out of 108406 total cases.

Mean: 0.17Median: 0.00Mode: 0.00Minimum: 0.00Maximum: 1.00

• Standard Deviation: 0.37

SIQ6OS OTHER SPECIFY

Location: 129-148 (width: 20; decimal: 0)

Variable Type: character

Based upon 108406 valid cases out of 108406 total cases.

SIQ7A SEPARATE UNITS (99/97)

Location: 149-149 (width: 1; decimal: 0)

Variable Type:

numeric

Range of Missing Values (M):

8

Value	Label	Unweighted Frequency	%
0	No	48624	44.9 %
1	Yes	52961	48.9 %
8 (M)	Refusal	6821	6.3 %

Based upon 101585 valid cases out of 108406 total cases.

Mean: 0.52Median: 1.00Mode: 1.00Minimum: 0.00Maximum: 1.00

• Standard Deviation: 0.50

SIQ7B01

UNITS DIFFER - AVERAGE LENGTH OF STAY (99/97)

Location: 150-150 (width: 1; decimal: 0)

Variable Type: numeric

Range of Missing Values (M): 8

Value	Label	Unweighted Frequency	%
0	No	88100	81.3 %
1	Yes	20305	18.7 %
8 (M)	Refusal	1	0.0 %

Based upon 108405 valid cases out of 108406 total cases.

Mean: 0.19Median: 0.00Mode: 0.00Minimum: 0.00Maximum: 1.00

Standard Deviation: 0.39

SIQ7B02

UNITS DIFFER - PHYSICAL SECURITY (99/97)

Location: 151-151 (width: 1; decimal: 0)

Variable Type: numeric Range of Missing Values (M): 8

Value	Label	Unweighted Frequency	%
0	No	72623	67.0 %
1	Yes	35783	33.0 %

Based upon 108406 valid cases out of 108406 total cases.

Mean: 0.33Median: 0.00Mode: 0.00Minimum: 0.00Maximum: 1.00

• Standard Deviation: 0.47

SIQ7B03 UNITS DIFFER - STAFF PER RESIDENT (99/97)

Location: 152-152 (width: 1; decimal: 0)

Variable Type: numeric Range of Missing Values (M): 8

Value	Label	Unweighted Frequency	%
0	No	80011	73.8 %
1	Yes	28395	26.2 %

Based upon 108406 valid cases out of 108406 total cases.

Mean: 0.26Median: 0.00Mode: 0.00Minimum: 0.00Maximum: 1.00

Standard Deviation: 0.44

SIQ7B04 UNITS DIFFER - TYPE OF TREATMENT (1999)

Location: 153-153 (width: 1; decimal: 0)

Variable Type: numeric Range of Missing Values (M): 8

Value	Label	Unweighted Frequency	%
0	No	74150	68.4 %
1	Yes	34256	31.6 %

Based upon 108406 valid cases out of 108406 total cases.

Mean: 0.32Median: 0.00Mode: 0.00Minimum: 0.00Maximum: 1.00

• Standard Deviation: 0.46

SIQ7B05 UNITS DIFFER - CHARACT. OF RESIDENTS (99/97)

Location: 154-154 (width: 1; decimal: 0)

Variable Type: numeric

Range of Missing Values (M): 8

Value	Label	Unweighted Frequency	%
0	No	72153	66.6 %
1	Yes	36253	33.4 %

Based upon 108406 valid cases out of 108406 total cases.

Mean: 0.33Median: 0.00Mode: 0.00Minimum: 0.00Maximum: 1.00

Standard Deviation: 0.47

SIQ7B06 UNITS DIFFER - CRITERIA FOR STAFF (99/97)

Location: 155-155 (width: 1; decimal: 0)

Variable Type: numeric Range of Missing Values (M): 8

Value	Label	Unweighted Frequency	%
0	No	88670	81.8 %
1	Yes	19736	18.2 %

Based upon 108406 valid cases out of 108406 total cases.

Mean: 0.18Median: 0.00Mode: 0.00Minimum: 0.00Maximum: 1.00

• Standard Deviation: 0.39

SIQ7B07 UNITS DIFFER - OTHER (99/97)

Location: 156-156 (width: 1; decimal: 0)

Variable Type: numeric

Range of Missing Values (M): 8

Value	Label	Unweighted Frequency	%
0	No	105499	97.3 %
1	Yes	2907	2.7 %

Based upon 108406 valid cases out of 108406 total cases.

Mean: 0.03Median: 0.00Mode: 0.00Minimum: 0.00Maximum: 1.00

• Standard Deviation: 0.16

SIQ7BOS UNITS DIFFER - OTHER SPECIFY (99/97)

Location: 157-176 (width: 20; decimal: 0)

Variable Type: character

Based upon 108406 valid cases out of 108406 total cases.

SIQ7C01 PURPOSE - SPECIALIZED CARE (1999)

Location: 177-177 (width: 1; decimal: 0)

Variable Type: numeric

Value	Label	Unweighted Frequency	%
0	No	69884	64.5 %
1	Yes	38522	35.5 %

Based upon 108406 valid cases out of 108406 total cases.

Mean: 0.36Median: 0.00Mode: 0.00Minimum: 0.00Maximum: 1.00

Standard Deviation: 0.48

SIQ7C02 PURPOSE - SERIES OF SEPARATE UNITS (1999)

Location: 178-178 (width: 1; decimal: 0)

Variable Type: numeric

Value	Label	Unweighted Frequency	%
0	No	102154	94.2 %
1	Yes	6252	5.8 %

Based upon 108406 valid cases out of 108406 total cases.

Mean: 0.06Median: 0.00Mode: 0.00

Minimum: 0.00Maximum: 1.00

• Standard Deviation: 0.23

SIQ7C03 PURPOSE - SOME OTHER REASON (1999)

Location: 179-179 (width: 1; decimal: 0)

Variable Type: numeric

Value	Label	Unweighted Frequency	%
0	No	95193	87.8 %
1	Yes	13213	12.2 %

Based upon 108406 valid cases out of 108406 total cases.

Mean: 0.12Median: 0.00Mode: 0.00Minimum: 0.00Maximum: 1.00

• Standard Deviation: 0.33

SIQ7COS PURPOSE - OTHER SPECIFY (1999)

Location: 180-199 (width: 20; decimal: 0)

Variable Type: character

Based upon 108406 valid cases out of 108406 total cases.

SIQ7D01 SHARE SAME AGENCY AFFILIATION (1999)

Location: 200-200 (width: 1; decimal: 0)

Variable Type: numeric

Value	Label	Unweighted Frequency	%
0	No	56314	51.9 %
1	Yes	52092	48.1 %

Based upon 108406 valid cases out of 108406 total cases.

Mean: 0.48Median: 0.00Mode: 0.00Minimum: 0.00Maximum: 1.00

Standard Deviation: 0.50

SIQ7D02 SHARE SAME MAILING ADDRESS (1999)

Location: 201-201 (width: 1; decimal: 0)

Variable Type: numeric

Value	Label	Unweighted Frequency	%
0	No	55909	51.6 %
1	Yes	52497	48.4 %

Based upon 108406 valid cases out of 108406 total cases.

Mean: 0.48Median: 0.00Mode: 0.00Minimum: 0.00Maximum: 1.00

Standard Deviation: 0.50

SIQ7D03 SHARE SAME ON-SITE ADMINISTRATORS (1999)

Location: 202-202 (width: 1; decimal: 0)

Variable Type: numeric

Value	Label	Unweighted Frequency	%
0	No	56003	51.7 %
1	Yes	52403	48.3 %

Based upon 108406 valid cases out of 108406 total cases.

Mean: 0.48Median: 0.00Mode: 0.00Minimum: 0.00Maximum: 1.00

• Standard Deviation: 0.50

SIQ7D04 SHARE ONE OR MORE STAFF (1999)

Location: 203-203 (width: 1; decimal: 0)

Variable Type: numeric

Value	Label	Unweighted Frequency	%
0	No	65203	60.1 %
1	Yes	43203	39.9 %

Based upon 108406 valid cases out of 108406 total cases.

Mean: 0.40Median: 0.00

Mode: 0.00Minimum: 0.00Maximum: 1.00

• Standard Deviation: 0.49

SIQ7D05 SHARE ONE OR MORE SECURITY STAFF (1999)

Location: 204-204 (width: 1; decimal: 0)

Variable Type: numeric

Value	Label	Unweighted Frequency	%
0	No	67963	62.7 %
1	Yes	40443	37.3 %

Based upon 108406 valid cases out of 108406 total cases.

Mean: 0.37Median: 0.00Mode: 0.00Minimum: 0.00Maximum: 1.00

Standard Deviation: 0.48

SIQ7D06 SHARE SAME SCHOOL ROOMS (1999)

Location: 205-205 (width: 1; decimal: 0)

Variable Type: numeric

Value	Label	Unweighted Frequency	%
0	No	69799	64.4 %
1	Yes	38607	35.6 %

Based upon 108406 valid cases out of 108406 total cases.

Mean: 0.36 Median: 0.00 Mode: 0.00 Minimum: 0.00 Maximum: 1.00

• Standard Deviation: 0.48

SIQ7D07 SHARE SAME DINING ROOM/SAME TIME (1999)

Location: 206-206 (width: 1; decimal: 0)

Variable Type: numeric

Value	Label	Unweighted Frequency	%
0	No	81963	75.6 %
1	Yes	26443	24.4 %

Based upon 108406 valid cases out of 108406 total cases.

Mean: 0.24Median: 0.00Mode: 0.00Minimum: 0.00Maximum: 1.00

Standard Deviation: 0.43

SIQ7D08 SHARE SAME RECREATION AREAS (1999)

Location:

207-207 (width: 1; decimal: 0)

Variable Type: numeric

Value	Label	Unweighted Frequency	%
0	No	82947	76.5 %
1	Yes	25459	23.5 %

Based upon 108406 valid cases out of 108406 total cases.

Mean: 0.23Median: 0.00Mode: 0.00Minimum: 0.00Maximum: 1.00

• Standard Deviation: 0.42

SIQ7D09 SHARE SAME LAUNDRY SERVICES (1999)

Location: 208-208 (width: 1; decimal: 0)

Variable Type: numeric

Value	Label	Unweighted Frequency	%
0	No	65361	60.3 %
1	Yes	43045	39.7 %

Based upon 108406 valid cases out of 108406 total cases.

Mean: 0.40Median: 0.00Mode: 0.00Minimum: 0.00Maximum: 1.00

• Standard Deviation: 0.49

SIQ7D10 SHARE NONE OF ABOVE (1999)

Location: 209-209 (width: 1; decimal: 0)

Variable Type: numeric

Value	Label	Unweighted Frequency	%
0	No	108406	100.0 %

Based upon 108406 valid cases out of 108406 total cases.

Mean: 0.00Median: 0.00Mode: 0.00Minimum: 0.00Maximum: 0.00

• Standard Deviation: 0.00

SIQ8 PHYSICAL LAYOUT

Location: 210-210 (width: 1; decimal: 0)

Variable Type: numeric

Range of Missing Values (M): 8

Value	Label	Unweighted Frequency	%
0	Undocumented code	295	0.3 %
1	Part of one building	10791	10.0 %
2	All of one building	30004	27.7 %
3	>1 building at single site or one campus	59576	55.0 %
4	Other	1000	0.9 %
8 (M)	Refusal	6740	6.2 %

Based upon 101666 valid cases out of 108406 total cases.

Mean: 2.49Median: 3.00Mode: 3.00Minimum: 0.00Maximum: 4.00

· Standard Deviation: 0.71

SIQ8OS OTHER SPECIFY

Location: 211-230 (width: 20; decimal: 0)

Variable Type: character

Based upon 108406 valid cases out of 108406 total cases.

SIQ9 OTHER BLDGS AT DIFFERENT SITE (1999)

Location: 231-231 (width: 1; decimal: 0)

Variable Type: numeric

Range of Missing Values (M): 8

Value	Label	Unweighted Frequency	%
0	No	88961	82.1 %
1	Yes	12370	11.4 %
8 (M)	Refusal	7075	6.5 %

Based upon 101331 valid cases out of 108406 total cases.

Mean: 0.12Median: 0.00Mode: 0.00Minimum: 0.00Maximum: 1.00

• Standard Deviation: 0.33

SIQ10 OVERFLOW DETENTION POPULATION

Location: 232-232 (width: 1; decimal: 0)

Variable Type: numeric

Range of Missing Values (M): 8

Value	Label	Unweighted Frequency	%
0	No	99834	92.1 %
1	Yes	1503	1.4 %
8 (M)	Refusal	7069	6.5 %

Based upon 101337 valid cases out of 108406 total cases.

Mean: 0.01Median: 0.00Mode: 0.00Minimum: 0.00Maximum: 1.00

Standard Deviation: 0.12

SIQ11A PERSONS ASSIGNED BEDS

Location: 233-233 (width: 1; decimal: 0)

Variable Type: numeric

Value	Label	Unweighted Frequency	%
0	No	34	0.0 %

Value		Unweighted Frequency	%
1	Yes	108372	100.0 %

Based upon 108406 valid cases out of 108406 total cases.

Mean: 1.00Median: 1.00Mode: 1.00Minimum: 0.00Maximum: 1.00

Standard Deviation: 0.02

SIQ11B NUMBER OF PERSONS ASSIGNED BEDS

Location: 234-237 (width: 4; decimal: 0)

Variable Type: numeric

Based upon 108406 valid cases out of 108406 total cases.

Mean: 191.73Minimum: 0.00Maximum: 1350.00

Standard Deviation: 225.31

SIQ12 PERSONS AGE 21 OR OLDER

Location: 238-240 (width: 3; decimal: 0)

Variable Type: numeric

Based upon 108406 valid cases out of 108406 total cases.

Mean: 7.55Median: 0.00Mode: 0.00Minimum: 0.00Maximum: 502.00

Standard Deviation: 49.58

SIQ13A PERSONS UNDER 21

Location: 241-241 (width: 1; decimal: 0)

Variable Type: numeric

Value	Label	Unweighted Frequency	%
0	No	34	0.0 %
1	Yes	108372	100.0 %

Based upon 108406 valid cases out of 108406 total cases.

Mean: 1.00Median: 1.00Mode: 1.00Minimum: 0.00Maximum: 1.00

• Standard Deviation: 0.02

SIQ13B NUMBER PERSONS UNDER 21

Location: 242-244 (width: 3; decimal: 0)

Variable Type: numeric

Based upon 108406 valid cases out of 108406 total cases.

Mean: 184.18Minimum: 0.00Maximum: 955.00

• Standard Deviation: 201.53

SIQ14A DELINQUENT OFFENDERS HELD

Location: 245-245 (width: 1; decimal: 0)

Variable Type: numeric

Value	Label	Unweighted Frequency	%
0	No	739	0.7 %
1	Yes	107667	99.3 %

Based upon 108406 valid cases out of 108406 total cases.

Mean: 0.99Median: 1.00Mode: 1.00Minimum: 0.00Maximum: 1.00

• Standard Deviation: 0.08

SIQ14B NUMBER DELINQUENT OFFENDERS

Location: 246-248 (width: 3; decimal: 0)

Variable Type: numeric

Based upon 108406 valid cases out of 108406 total cases.

Mean: 181.73Minimum: 0.00Maximum: 955.00

Standard Deviation: 202.00

SIQ15A NON-OFFENDERS HELD

Location: 249-249 (width: 1; decimal: 0)

Variable Type: numeric

Value	Label	Unweighted Frequency	%
0	No	93839	86.6 %
1	Yes	14567	13.4 %

Based upon 108406 valid cases out of 108406 total cases.

Mean: 0.13Median: 0.00Mode: 0.00Minimum: 0.00Maximum: 1.00

· Standard Deviation: 0.34

SIQ15B NUMBER OF NON-OFFENDERS HELD

Location: 250-252 (width: 3; decimal: 0)

Variable Type: numeric

Based upon 108406 valid cases out of 108406 total cases.

Mean: 2.45Median: 0.00Mode: 0.00Minimum: 0.00Maximum: 365.00

• Standard Deviation: 14.18

LINENUM LINENUM

Location: 253-255 (width: 3; decimal: 0)

Variable Type: numeric Range of Missing Values (M): -9

Value Label

-9 (M)

Based upon 96692 valid cases out of 108406 total cases.

Mean: 96.14Minimum: 0.00Maximum: 956.00

• Standard Deviation: 132.95

Blank

SIIQ2 SEX

Location: 256-257 (width: 2; decimal: 0)

Variable Type: numeric Range of Missing Values (M): -9

Value	Label	Unweighted Frequency	%
1	Male	93114	85.9 %
2	Female	14553	13.4 %
-9 (M)	Blank	739	0.7 %

Based upon 107667 valid cases out of 108406 total cases.

Mean: 1.14Median: 1.00Mode: 1.00Minimum: 1.00Maximum: 2.00

• Standard Deviation: 0.34

SIIQ3MM DATE OF BIRTH - MONTH

Location: 258-259 (width: 2; decimal: 0)

Variable Type: numeric
Range of Missing Values (M): -9

Value	Label
-9 (M)	Blank

Based upon 107645 valid cases out of 108406 total cases.

Mean: 6.58Median: 7.00Mode: 8.00Minimum: 1.00Maximum: 12.00

· Standard Deviation: 3.46

SIIQ3DD DATE OF BIRTH - DAY

Location: 260-261 (width: 2; decimal: 0)

Variable Type: numeric Range of Missing Values (M): -9

Value	Label
-9 (M)	Blank

Based upon 107645 valid cases out of 108406 total cases.

• Mean: 15.58

Median: 15.00Mode: 8.00Minimum: 1.00Maximum: 31.00

• Standard Deviation: 8.78

SIIQ3YYYY DATE OF BIRTH - YEAR

Location: 262-265 (width: 4; decimal: 0)

Variable Type: numeric Range of Missing Values (M): -9

Value	Label
-9 (M)	Blank

Based upon 107645 valid cases out of 108406 total cases.

Mean: 1982.89
Median: 1983.00
Mode: 1983.00
Minimum: 1978.00
Maximum: 1992.00
Standard Deviation: 1.60

SIIQ4 RACE

Location: 266-267 (width: 2; decimal: 0)

Variable Type: numeric Range of Missing Values (M): -9

Value	Label	Unweighted Frequency	%
0	Undocumented code	22	0.0 %
1	White	40918	37.7 %
2	Black	42347	39.1 %
3	Hispanic	19580	18.1 %
4	Amer. Indian	2041	1.9 %
5	Asian	1874	1.7 %
6	Pac. Islander	256	0.2 %
7	Other	610	0.6 %
-9 (M)	Blank	758	0.7 %

Based upon 107648 valid cases out of 108406 total cases.

Mean: 1.93Median: 2.00Mode: 2.00Minimum: 0.00Maximum: 7.00

• Standard Deviation: 0.98

SIIQ4SP RACE OTHER

Location: 268-287 (width: 20; decimal: 0)

Variable Type: character

Based upon 108406 valid cases out of 108406 total cases.

SIIQ5 PLACING AGENCY

Location: 288-289 (width: 2; decimal: 0)

Variable Type: numeric Range of Missing Values (M): -9

Value	Label	Unweighted Frequency	%
0	Undocumented code	2	0.0 %
1	Court/probation/law enforcement	97793	90.2 %
2	Corrections/other not included in 1	5026	4.6 %
3	Social services	4605	4.2 %
4	School/parent/guardian/young person him/herself	169	0.2 %
5	Other - specify	57	0.1 %
-9 (M)	Blank	754	0.7 %

Based upon 107652 valid cases out of 108406 total cases.

Mean: 1.14Median: 1.00Mode: 1.00Minimum: 0.00Maximum: 5.00

• Standard Deviation: 0.47

SIIQ5SP PLACE OTHER

Location: 290-309 (width: 20; decimal: 0)

Variable Type: character

Based upon 108406 valid cases out of 108406 total cases.

SIIQ6 TYPE OF AGENCY

Location: 310-311 (width: 2; decimal: 0)

Variable Type: numeric Range of Missing Values (M): -9 , 8 , 9

Value	Label	Unweighted Frequency	%
0	Undocumented code	2	0.0 %

Value	Label	Unweighted Frequency	%
1	Federal	618	0.6 %
2	Tribal	195	0.2 %
3	State	28755	26.5 %
4	County	68046	62.8 %
5	Municipal	4292	4.0 %
6	Other	194	0.2 %
-9 (M)	Blank	1275	1.2 %
8 (M)	Refusal	3972	3.7 %
9 (M)	Unknown	1057	1.0 %

Based upon 102102 valid cases out of 108406 total cases.

Mean: 3.74Median: 4.00Mode: 4.00Minimum: 0.00Maximum: 6.00

• Standard Deviation: 0.57

SIIQ6SP OTHER TYPE OF AGENCY - SPECIFY

Location: 312-331 (width: 20; decimal: 0)

Variable Type: character

Based upon 108406 valid cases out of 108406 total cases.

SIIQ7 MOST SERIOUS OFFENSE

Location: 332-333 (width: 2; decimal: 0)

Variable Type: numeric

Range of Missing Values (M): -9, 97, 98, 99

Value	Label	Unweighted Frequency	%
0	0: Undocumented code	8	0.0 %
9	9: Undocumented code	73	0.1 %
10	10: Arson	1116	1.0 %
11	11: Auto theft, unauthorized use of auto, joyriding	6154	5.7 %
12	12: Burglary, breaking and entering, household larceny	12085	11.1 %
13	13: Theft, non-household larceny	6833	6.3 %
14	14: Property damage, vandalism	1887	1.7 %
19	19: Other property offense	3403	3.1 %
20	20: Assault, aggravated (include attempted murder)	9872	9.1 %
21	21: Assault, simple	7408	6.8 %
22	22: Kidnapping	317	0.3 %

Value	Label	Unweighted Frequency	%
23	23: Murder, manslaughter, negligent homicide	1460	1.3 %
24	24: Violent sexual assault including forcible rape	7455	6.9 %
25	25: Robbery	7959	7.3 %
29	29: Other person offense	2945	2.7 %
30	30: Drugs or narcotics, trafficking	2928	2.7 %
31	31: Drugs or narcotics, possession	5970	5.5 %
39	39: Other drug-related offense	754	0.7 %
40	40: Alcohol or drugs, driving under the influence of	327	0.3 %
41	41: Obstruction of justice	1632	1.5 %
42	42: Non-violent sex offense, statutory rape	1753	1.6 %
43	43: Weapons-related offenses	3949	3.6 %
49	49: Other public order offense	3106	2.9 %
50	50: Probation or parole violation	13953	12.9 %
59	59: Undocumented code	1	0.0 %
60	60: Curfew violation	96	0.1 %
61	61: Incorrigible, ungovernable	1709	1.6 %
62	62: Running away	997	0.9 %
63	63: Truancy	857	0.8 %
64	64: Alcohol: underage use, possession or consumption of	329	0.3 %
69	69: Other offense that is illegal for underage persons only	311	0.3 %
-9 (M)	-9: Blank	739	0.7 %
97 (M)	97: Unknown offense for both underage persons and adults	17	0.0 %
98 (M)	98: Unknown offense for underage persons only	2	0.0 %
99 (M)	99: Unknown offense	1	0.0 %

Based upon 107647 valid cases out of 108406 total cases.

Mean: 27.92Median: 24.00Mode: 50.00Minimum: 0.00Maximum: 69.00

• Standard Deviation: 14.82

SIIQ8C COUNTY (OF OFFENSE COMMITTED)

Location: 334-363 (width: 30; decimal: 0)

Variable Type: character

Based upon 108406 valid cases out of 108406 total cases.

SIIQ8S STATE (OF OFFENSE COMMITTED)

Location: 364-365 (width: 2; decimal: 0)

Variable Type: character

Based upon 108406 valid cases out of 108406 total cases.

SIIQ9 COURT ADJUDICATION STATUS

Location: 366-367 (width: 2; decimal: 0)

Variable Type: numeric Range of Missing Values (M): -9

Value	Label	Unweighted Frequency	%
0	0: Undocumented code	13	0.0 %
1	1: Agreement not to adjudicate (diversion)	613	0.6 %
2	2: Awaiting adjudication hearing in juvenile court	14170	13.1 %
3	3: Adjudicated, awaiting disposition	5190	4.8 %
4	4: Adjudicated and disposed awaiting placement elsewhere	8256	7.6 %
5	5: Adjudicated and disposed, in placement here	76323	70.4 %
6	6: Awaiting transfer hearing to adult criminal court	338	0.3 %
7	7: Awaiting hearing or trial in adult criminal court	701	0.6 %
8	8: Convicted in adult criminal court	1605	1.5 %
10	10: Other - specify	442	0.4 %
-9 (M)	-9: Blank	755	0.7 %

Based upon 107651 valid cases out of 108406 total cases.

Mean: 4.49Median: 5.00Mode: 5.00Minimum: 0.00Maximum: 10.00

• Standard Deviation: 1.25

SIIQ9ST OTHER STATUS - SPECIFY

Location: 368-387 (width: 20; decimal: 0)

Variable Type: character

Based upon 108406 valid cases out of 108406 total cases.

SIIQ10MM ADMISSION DATE - MONTH

Location: 388-389 (width: 2; decimal: 0)

Variable Type: numeric Range of Missing Values (M): -9

Value	Label
-9 (M)	Blank

Based upon 107646 valid cases out of 108406 total cases.

Mean: 7.71Median: 9.00Mode: 10.00Minimum: 0.00Maximum: 92.00

• Standard Deviation: 2.79

SIIQ10DD ADMISSION DATE - DAY

Location: 390-391 (width: 2; decimal: 0)

Variable Type: numeric Range of Missing Values (M): -9

Value	Label
-9 (M)	Blank

Based upon 107646 valid cases out of 108406 total cases.

Mean: 16.50Median: 17.00Mode: 27.00Minimum: 1.00Maximum: 91.00

• Standard Deviation: 8.53

SIIQ10YY ADMISSION DATE - YEAR

Location: 392-395 (width: 4; decimal: 0)

Variable Type: numeric

Range of Missing Values (M): -9, 9993, 9994, 9995

Value	Label
-9 (M)	-9: Blank
9993 (M)	9993: Undocumented code
9994 (M)	9994: Undocumented code
9995 (M)	9995: Undocumented code

Based upon 107635 valid cases out of 108406 total cases.

Mean: 1998.79
Median: 1999.00
Mode: 1999.00
Minimum: 1986.00
Maximum: 2000.00
Standard Deviation: 0.63

SIIQ11 LOCKED DOORS

Location: 396-397 (width: 2; decimal: 0)

Variable Type: numeric -9

Range of Missing Values (M):

Value	Label	Unweighted Frequency	%
0	No locks	31040	28.6 %
1	1 locked door	4990	4.6 %
2	2 locked doors	11455	10.6 %
3	3 locked doors	14707	13.6 %
4	4 locked doors	15472	14.3 %
5	5+ locked doors	29848	27.5 %
6	Undocumented code	153	0.1 %
-9 (M)	Blank	741	0.7 %

Based upon 107665 valid cases out of 108406 total cases.

• Mean: 2.64 Median: 3.00 Mode: 0.00 Minimum: 0.00 Maximum: 6.00

Standard Deviation: 2.01

OTHER REFERENCE DATE USED (1999) OTREFDAT

Location: 398-405 (width: 8; decimal: 0)

Variable Type: numeric Range of Missing Values (M): -9

Value	Label
-9 (M)	Blank

Based upon 18815 valid cases out of 108406 total cases.

• Mean: 8603690.40 Median: 10291999.00 • Mode: 10301999.00 • Minimum: 1102000.00 • Maximum: 12311999.00

• Standard Deviation: 2442354.43

SIQ11B_F **NUM PERSONS ASSIGNED BED FOR OFFENSE - FLAG**

Location: 406-406 (width: 1; decimal: 0)

Variable Type: numeric

Value	Label	Unweighted Frequency	%
0	Actual data	108406	100.0 %

Based upon 108406 valid cases out of 108406 total cases.

Mean: 0.00Median: 0.00Mode: 0.00Minimum: 0.00Maximum: 0.00

• Standard Deviation: 0.00

SIQ12_F PERSONS AGE 21 OR OLDER - FLAG

Location: 407-407 (width: 1; decimal: 0)

Variable Type: numeric

Value	Label	Unweighted Frequency	%
0	Actual data	108406	100.0 %

Based upon 108406 valid cases out of 108406 total cases.

Mean: 0.00Median: 0.00Mode: 0.00Minimum: 0.00Maximum: 0.00

• Standard Deviation: 0.00

SIQ13B_F NUMBER PERSONS UNDER 21 - FLAG

Location: 408-408 (width: 1; decimal: 0)

Variable Type: numeric

Value	Label	Unweighted	%
		Frequency	
0	Actual data	108406	100.0 %

Based upon 108406 valid cases out of 108406 total cases.

Mean: 0.00Median: 0.00Mode: 0.00Minimum: 0.00Maximum: 0.00

• Standard Deviation: 0.00

SIQ14B_F NUMBER DELINQUENT OFFENDERS - FLAG

Location: 409-409 (width: 1; decimal: 0)

Variable Type: numeric

Value	Label	Unweighted Frequency	%
0	Actual data	108392	100.0 %
1	Estimated data	14	0.0 %

Based upon 108406 valid cases out of 108406 total cases.

Mean: 0.00Median: 0.00Mode: 0.00Minimum: 0.00Maximum: 1.00

· Standard Deviation: 0.01

SIQ15B_F NUMBER OF NON-OFFENDERS HELD - FLAG

Location:

410-410 (width: 1; decimal: 0)

Variable Type:

numeric

Value	Label	Unweighted Frequency	%
0	Actual data	108381	100.0 %
1	Estimated data	25	0.0 %

Based upon 108406 valid cases out of 108406 total cases.

Mean: 0.00Median: 0.00Mode: 0.00Minimum: 0.00Maximum: 1.00

• Standard Deviation: 0.02

SIIQ2_F SEX - FLAG

Location:

411-411 (width: 1; decimal: 0)

Variable Type:

numeric

Value	Label	Unweighted Frequency	%
0	Not imputed	102628	94.7 %
1	Imputed	5778	5.3 %

Based upon 108406 valid cases out of 108406 total cases.

Mean: 0.05Median: 0.00Mode: 0.00Minimum: 0.00Maximum: 1.00

• Standard Deviation: 0.22

SIIQ3MM_F DATE OF BIRTH - MONTH - FLAG

Location: 412-412 (width: 1; decimal: 0)

Variable Type: numeric

Value	Label	Unweighted Frequency	%
0	Not imputed	97152	89.6 %
1	Imputed	11254	10.4 %

Based upon 108406 valid cases out of 108406 total cases.

Mean: 0.10Median: 0.00Mode: 0.00Minimum: 0.00Maximum: 1.00

· Standard Deviation: 0.31

SIIQ3DD_F DATE OF BIRTH - DAY - FLAG

Location: 413-413 (width: 1; decimal: 0)

Variable Type: numeric

Value	Label	Unweighted Frequency	%
0	Not imputed	97151	89.6 %
1	Imputed	11255	10.4 %

Based upon 108406 valid cases out of 108406 total cases.

Mean: 0.10Median: 0.00Mode: 0.00Minimum: 0.00Maximum: 1.00

· Standard Deviation: 0.31

SIIQ3YY_F DATE OF BIRTH - YEAR - FLAG

Location: 414-414 (width: 1; decimal: 0)

Variable Type: numeric

Value	Label	Unweighted Frequency	%
0	Not imputed	97173	89.6 %
1	Imputed	11233	10.4 %

Based upon 108406 valid cases out of 108406 total cases.

Mean: 0.10Median: 0.00Mode: 0.00Minimum: 0.00Maximum: 1.00

• Standard Deviation: 0.30

SIIQ4_F RACE - FLAG

Location: 415-415 (width: 1; decimal: 0)

Variable Type: numeric

Value	Label	Unweighted Frequency	%
0	Not imputed	97069	89.5 %
1	Imputed	11337	10.5 %

Based upon 108406 valid cases out of 108406 total cases.

Mean: 0.10Median: 0.00Mode: 0.00Minimum: 0.00Maximum: 1.00

Standard Deviation: 0.31

SIIQ5_F PLACING AGENCY - FLAG

Location: 416-416 (width: 1; decimal: 0)

Variable Type: numeric

Value	Label	Unweighted Frequency	%
0	Not imputed	103984	95.9 %
1	Imputed	4422	4.1 %

Based upon 108406 valid cases out of 108406 total cases.

Mean: 0.04Median: 0.00Mode: 0.00Minimum: 0.00Maximum: 1.00

• Standard Deviation: 0.20

SIIQ7_F MOST SERIOUS OFFENSE - FLAG

Location: 417-417 (width: 1; decimal: 0)

Variable Type: numeric

Value	Label	Unweighted Frequency	%
0	Not imputed	79689	73.5 %
1	Imputed	28717	26.5 %

Based upon 108406 valid cases out of 108406 total cases.

Mean: 0.26Median: 0.00Mode: 0.00Minimum: 0.00Maximum: 1.00

Standard Deviation: 0.44

SIIQ9_F COURT ADJUDICATION STATUS - FLAG

Location:

418-418 (width: 1; decimal: 0)

Variable Type:

numeric

Value	Label	Unweighted Frequency	%
0	Not imputed	98577	90.9 %
1	Imputed	9829	9.1 %

Based upon 108406 valid cases out of 108406 total cases.

Mean: 0.09Median: 0.00Mode: 0.00Minimum: 0.00Maximum: 1.00

• Standard Deviation: 0.29

SIIQ10M_F ADMISSION DATE - MONTH - FLAG

Location: 419-419 (width: 1; decimal: 0)

Variable Type: numeric

Value	Label	Unweighted Frequency	%
0	Not imputed	96819	89.3 %
1	Imputed	11587	10.7 %

Based upon 108406 valid cases out of 108406 total cases.

Mean: 0.11Median: 0.00Mode: 0.00Minimum: 0.00Maximum: 1.00

· Standard Deviation: 0.31

SIIQ10D_F ADMISSION DATE - DAY - FLAG

Location: 420-420 (width: 1; decimal: 0)

Variable Type: numeric

Value	Label	Unweighted Frequency	%
0	Not imputed	96815	89.3 %
1	Imputed	11591	10.7 %

Based upon 108406 valid cases out of 108406 total cases.

Mean: 0.11Median: 0.00Mode: 0.00Minimum: 0.00Maximum: 1.00

· Standard Deviation: 0.31

SIIQ10Y_F ADMISSION DATE - YEAR - FLAG

Location: 421-421 (width: 1; decimal: 0)

Variable Type: numeric

Value	Label	Unweighted Frequency	%
0	Not imputed	96832	89.3 %
1	Imputed	11574	10.7 %

Based upon 108406 valid cases out of 108406 total cases.

Mean: 0.11Median: 0.00Mode: 0.00Minimum: 0.00Maximum: 1.00

· Standard Deviation: 0.31

SIIQ11_F LOCKED DOORS - FLAG

Location: 422-422 (width: 1; decimal: 0)

Variable Type: numeric

Value	Label	Unweighted Frequency	%
0	Not imputed	102003	94.1 %
1	Imputed	6403	5.9 %

Based upon 108406 valid cases out of 108406 total cases.

Mean: 0.06Median: 0.00Mode: 0.00Minimum: 0.00Maximum: 1.00

• Standard Deviation: 0.24

AGE AGE

Location: 423-424 (width: 2; decimal: 0)

Variable Type: numeric Range of Missing Values (M): -9

Value	Label
-9 (M)	Blank

Based upon 107645 valid cases out of 108406 total cases.

Mean: 15.74
Median: 16.00
Mode: 16.00
Minimum: 5.00
Maximum: 51.00
Standard Deviation: 1.74

AGE_F AGE - FLAG

Location: 425-426 (width: 2; decimal: 0)

Variable Type: numeric
Range of Missing Values (M): -9

Value	Label	Unweighted Frequency	%
0	Not imputed	97152	89.6 %
1	Imputed	11254	10.4 %

Based upon 108406 valid cases out of 108406 total cases.

Mean: 0.10Median: 0.00Mode: 0.00Minimum: 0.00Maximum: 1.00

• Standard Deviation: 0.31

CAT FACILITY TYPE

Location: 427-428 (width: 2; decimal: 0)

Variable Type:

numeric

Range of Missing Values (M):

-9

Value	Label	Unweighted Frequency	%
0	Detention center	35180	32.5 %
1	Shelter	2768	2.6 %
2	Reception/diagnostic center	5012	4.6 %
3	Training school	37148	34.3 %
5	Ranch, camp, or farm	12302	11.3 %
6	Halfway house/group home	15985	14.7 %
-9 (M)	Blank	11	0.0 %

Based upon 108395 valid cases out of 108406 total cases.

Mean: 2.60Median: 3.00Mode: 3.00Minimum: 0.00Maximum: 6.00

• Standard Deviation: 2.17

Disclosure Control in the Census of Juveniles in Residential Placement

March 2000

Joseph Moone Program Manager Office of Juvenile Justice and Delinquency Prevention The Office of Juvenile Justice and Delinquency Prevention is subject to Title 28 Code of Federal Regulations Part 22 (28 CFR 22) which governs the use and release of research and statistical information. The Census of Juveniles in Residential Placement (CJRP) falls under these regulations. The indicated purpose of these rules is to (1) protect individuals' privacy, (2) prevent the use of research and statistical information for judicial proceedings, (3) increase the credibility and reliability of federally funded research, (4) provide clear guidance on the use of identifiable information, and (5) insure an appropriate balance between individual privacy and essential research needs.

The regulations provide the following critical definitions:

Private Person means any individual, partnership, corporation, association other than an agency or department of Federal, State, or local government, or any component or combination thereof.

Information identifiable to a private person means information which is either (1) labeled by name or other personal identifiers, or (2) can, by virtue of sample size or other factors, be reasonably interpreted as referring to a particular private person.

In other words, according to 28 CFR 22, OJJDP must take measures to assure specific information identifiable to a specific individual is not publicly released. The language of the regulation does not speak to the quantity or the quality of the information.

Under CJRP, OJJDP collects information on the following types of "persons":

- 1. Youth in residential facilities,
- 2. Public juvenile residential facilities, and
- 3. Private juvenile residential facilities.

Only two of these types fall under the rubric of "private person": private facilities and the youth.

The CJRP collects identifiable information for all three types of persons, both unique identifiers and indirectly identifiable information. The identifiers include Census Bureau ID's for facilities and facility level ID's for the juveniles. The census collects indirectly identifiable information such as age, race, sex, offense, legal status, State of the offense, and data of admission (among other things). CJRP also collects information on the facilities in which the juveniles are housed including State, facility type, and (through aggregation of the juvenile information) population counts of various sorts (e.g., by sex, race, age, offense, etc.).

The issue facing OJJDP with regard to CJRP boils down to this: how to release as much valuable information as possible while maintaining the confidentiality of the data. The term of art used in the statistical field to refer to the inappropriate release of confidential data is "disclosure."

¹ The complete Code of Federal Regulations can be found on the web at http://www.access.gpo.gov/nara/cfr/index.html.

Generally, disclosure is defined as "inappropriate attribution of information to a data subject whether an individual or an organization."

This problem is not new in the Federal Government. Several agencies (particularly the Census Bureau, the National Center for Health Statistics, and the Bureau of Labor Statistics) have taken great pains to assure that the data they release cannot be used by itself or in combination with other sources of available data to identify an individual and confidential information about that individual. These and other Federal agencies have worked for many years on this particular problem. OMB published *Statistical Policy Working Paper 22* which describes disclosure and methods of avoiding this problem. This document defines and categorizes disclosure:

Identity disclosure occurs if a third party can identify a subject or respondent from the released data. Revealing that an individual is a respondent or subject of a data collection may or may not violate confidentiality requirements. For tabulations, revealing identity is generally not disclosure, unless the identification leads to divulging confidential information (attribute disclosure) about those who are identified.

Attribute disclosure occurs when confidential information about a data subject is revealed and can be attributed to the subject. Attribute disclosure may occur when confidential information is revealed exactly or when it can be closely estimated. Thus, attribute disclosure comprises identification of the subject and divulging confidential information pertaining to that subject.

OJJDP has the responsibility to prevent disclosures due not only to the legal requirements of 28 CFR 22, but also for ethical and practical reasons. Ethically, OJJDP must assure that the individuals covered by the CJRP cannot under reasonable circumstances be harmed due to this census. Such harm involves loss of economic opportunity or social standing. Practically, OJJDP must guard the confidentiality of respondents because they provide information based specifically on the guarantee of anonymity and privacy. Should OJJDP publish information that compromises this guarantee, then respondents will be less likely to provide information in the future.

Data products from any data collection effort fall into two general types: microdata and tabular data. Microdata refers to the actual record for a particular respondent (either a facility or a juvenile in custody). For example, one juvenile microdata record would contain the age, race, sex, and offense of one juvenile from the census. Generally, when talking of microdata we are only talking of electronic data. Indeed, use of such data only makes sense when the user has a statistical analysis package to make sense of the information. With such data, the user has the freedom to perform any specific analysis deemed useful for a fairly specific purpose. However, this flexibility comes with a specific danger: the more specific variables a user has at hand, the more ability that user has to identify a specific juvenile. Thus, microdata poses a serious threat to the confidentiality required under 28 CFR 22.

² Subcommittee on Disclosure Limitation Methodology, Federal Committee on Statistical Methodology. 1994. *Statistical Policy Working Paper 22: Report on Statistical Disclosure Limitation Methodology*. Washington, D.C.: Office of Management and Budget. Available on the web at http://www.fcsm.gov/working-papers/wp22.html.

Tabular data refers to the publication of data in specific publications whether in words or in tables. Beyond the presentation of the numbers in the cells of the tables, tabular data encompasses the reporting of percent and rates. For example, OJJDP might publish a table that crosses age by race for violent offenses. Based on this table, OJJDP may report that X % of all violent offenders in custody were white males. Similarly, OJJDP could report on the rate per 100,000 of incarceration for serious violent males by State.

Tabular data generally do not pose as significant a threat to confidentiality as does microdata; however, the problems of tabular data are much greater. For example, if one cell in a table contains a total of one (for example one Hispanic male from Vermont), a user may be able to identify confidential information about that person. Table 1 illustrates this example. These data are exemplary and not actual data from the CJRP. In this particular table, there is only one disclosure problem: the Hispanic male delinquent offender. While the identification of this one person does not itself constitute a disclosure, in combination with other tables a user could easily identify other information about this person such as facility, most serious offense, and adjudication status. Juvenile justice statutes in most states seal such information from public view to protect the juvenile's privacy. The identification of this person, then, poses a significant legal and ethical problem for OJJDP. Further, once a user has identified this simple information about this youth, additional information could be brought to bear to locate where this person lives, what this person's employment history has been and even the use of health or mental health services. Given that this person is an Hispanic in Vermont, a State with a small minority population, such a task would prove relatively simple. Even deceptively simple problems as this example pose significant problems under OJJDP's confidentiality regulations.

Table 1.
Delinquent Offenders in Vermont
Raw data (artificial)

	Male	Female	Total
White	26	10	36
Black	4	3	7
Hispanic	1	0	1
Other	3	0	3
Total	34	13	47

The control of data in tables proves to be particularly difficult and at times unacceptable for the overall presentation of data. To protect the privacy of the single Hispanic juvenile in that one cell, OJJDP would need to suppress three additional cells to assure that a user cannot reconstruct the suppressed cell with simple arithmetic. Using the same example as above, we would need to present the information in table 2. In this table, "D" represents a suppressed table cell.

Table 2.
Delinquent Offenders in Vermont
Primary and Complementary Suppression

	Male	Female	Total
White	26	10	36
Black	D	D	7
Hispanic	D	D	1
Other	3	0	3
Total	34	13	47

This scheme would protect the confidentiality of the one juvenile involved, yet in the process, OJJDP would lose some of the information presented in the table. As the number of tables increases, OJJDP would need to check all tables in combination with all others to assure that such confidentiality problems do not arise across tables as well. For example, all cells that break down Black or Hispanic youth from Vermont into male or female would need to be suppressed in all subsequent tables. Similarly, if this same table is presented for each State and for the nation as a whole, at least one other State would need to have these same cells suppressed whether such suppression was necessary in that State or not. This requirement could lead to multiple suppressions in several tables even though the other tables may not pose disclosure problems. Such a scheme would logically end in a series of tables with many "holes" where data are withheld due to confidentiality concerns. The value of these published tables decreases considerably as the number of primary and complementary suppressions increase.

In working with various components in the Census Bureau (including the Disclosure Review Committee that oversees release of all Census Bureau data) OJJDP has developed a rule for the release of tabular data from the CJRP.

Tabular Data Rounding Rule

For all representations of bivariate or multivariate counts (i.e., counts which represent more than one variable such as age by sex by race) all cells must be rounded to the nearest multiple of three. As a result, each cell will have one added to it, one subtracted from it, or be left alone. The data user will not have information on what action was taken with that particular cell. This action will prevent the identification of a unique individual and will also prevent the use of linear methods to determine the attributes of one individual through combinations of cells and totals. Similarly, it obviates the need for cell suppressions which can become quite cumbersome and complicated. Table 3 provides the rounded data for the example used here.

Table 3.
Delinquent Offenders in Vermont Rounded data

	Male	Female	Total
White	27	9	36
Black	3	3	6
Hispanic	0	0	0
Other	3	0	3
Total	33	12	48

Under this rule, all univariate statistics at the National level are not rounded. For example, reports can display either the raw data for sex and the raw data for State; however, tables providing sex by State, the cells must be rounded. In this example, the total (48) is rounded because it represents two variables: State and offender type (delinquent, status, or voluntary admission). If this table represented all offenders in Vermont, the total cell would not be rounded.

The rounding occurs at the final step before a table is finalized for publication. For example, when producing Table 3 for publication, the raw data are used to construct Table 1. Only once it has been determined that the table is indeed the final table are the numbers rounded. If, on the other hand, OJJDP wanted to further restrict the information to violent delinquent offenders, we would again use the raw data file to create the table and then round the cells.

This rule does not provide the same data as would come from a raw data file. There is, then, the potential for flawed analysis and flawed conclusions. However, such flaws occur only with very small numbers (such as happens routinely in the State of Vermont). With large numbers, particularly those above 25, the relative proportions are maintained and the actual distortion to the data is minimal (e.g. less than 5% change to the data). However, this method is superior to the cell suppression method discussed earlier.

This rule must be followed when reporting percentages and rates. If the raw, unrounded numbers are used to calculate ratios such as these, it becomes a relatively simple task to recreate the unrounded numbers even when only rounded numbers are reported. The reconstruction becomes easier as the cell size shrinks thus increasing the danger of unintended disclosure. Using rounded

numbers to calculate the ratios prevents the reconstruction of the actual, raw data. As with the actual numbers, the percent and rates do not change substantially between the use of actual numbers and the rounded numbers when the total being described is above 25. Below this threshold, the ratios appear to be less accurate. Thus, data users must carefully consider whether the susceptibility of the cell to small changes makes the particular estimate sufficiently unstable to produce accurate conclusions.

§ 21.7

the same manner as witnesses called on behalf of the Government. The attendance must be certified by the presiding officer of the court. The expenses of Federal Government employees are treated in the same manner as they are treated when the employee is called by a Government attorney.

§21.7 Certification of witness attendance.

In any case in which the U.S. Department of Justice, or office or organization thereof, is a party, the Department of Justice shall pay all fees and allowances of witnesses, except for those witnesses as defined in §21.2, paragraph (d)(1), on the certification of the following officials: The U.S. Attorney, an Assistant U.S. Attorney, a U.S. Trustee, or the U.S. Department of Justice attorney who actually conducts the case. In criminal proceedings in forma pauperis or in proceedings before a U.S. Commissioner, U.S. Magistrate or U.S. Parole Commission Hearing Examiner, the Department of Justice shall pay all fees and allowances of witnesses on the certification of the U.S. District Judge hearing the case or such Commissioner, Magistrate, or Hearing Examiner.

PART 22—CONFIDENTIALITY OF IDENTIFIABLE RESEARCH AND STATISTICAL INFORMATION

Sec.

- 22.1 Purpose.
- 22.2 Definitions.
- 22.20 Applicability
- 22.21 Use of identifiable data.
- 22.22 Revelation of identifiable data.
- 22.23 Privacy certification.
- 22.24 Information transfer agreement.
- 22.25 Final disposition of identifiable materials.
- 22.26 Requests for transfer of information.
- 22.27 Notification.
- 22.28 Use of data identifiable to a private person for judicial, legislative or administrative purposes.

22.29 Sanctions.

AUTHORITY: Secs. 801(a), 812(a), Omnibus Crime Control and Safe Streets Act of 1968, 42 U.S.C. 3701, et seq., as amended (Pub. L. 90-351, as amended by Pub. L. 93-83, Pub. L. 93-415, Pub. L. 94-430, Pub. L. 94-503, Pub. L. 95-115, Pub. L. 96-157, and Pub. L. 98-473); secs. 262(b), 262(d), Juvenile Justice and Delinquency Prevention Act of 1974, 42 U.S.C. 5601,

et seq., as amended (Pub. L. 93–415, as amended by Pub. L. 94–503, Pub. L. 95–115, Pub. L. 99–509, and Pub. L. 98–473); and secs. 1407(a) and 1407(d) of the Victims of Crime Act of 1984, 42 U.S.C. 10601, et seq., Pub. L. 98–473.

SOURCE: 41 FR 54846, Dec. 15, 1976, unless otherwise noted.

§22.1 Purpose.

The purpose of these regulations is to:

- (a) Protect privacy of individuals by requiring that information identifiable to a private person obtained in a research or statistical program may only be used and/or revealed for the purpose for which obtained;
- (b) Insure that copies of such information shall not, without the consent of the person to whom the information pertains, be admitted as evidence or used for any purpose in any judicial or administrative proceedings;
- (c) Increase the credibility and reliability of federally-supported research and statistical findings by minimizing subject concern over subsequent uses of identifiable information;
- (d) Provide needed guidance to persons engaged in research and statistical activities by clarifying the purposes for which identifiable information may be used or revealed; and
- (e) Insure appropriate balance between individual privacy and essential needs of the research community for data to advance the state of knowledge in the area of criminal justice.
- (f) Insure the confidentiality of information provided by crime victims to crisis intervention counselors working for victim services programs receiving funds provided under the Crime Control Act, and Juvenile Justice Act, and the Victims of Crime Act.

[41 FR 54846, Dec. 15, 1976, as amended at 51 FR 6400, Feb. 24, 1986]

§22.2 Definitions.

- (a) *Person* means any individual, partnership, corporation, association, public or private organization or governmental entity, or combination thereof.
- (b) *Private person* means any person defined in §22.2(a) other than an agency, or department of Federal, State, or local government, or any component or

combination thereof. Included as a private person is an individual acting in his or her official capacity.

- (c) Research or statistical project means any program, project, or component thereof which is supported in whole or in part with funds appropriated under the Act and whose purpose is to develop, measure, evaluate, or otherwise advance the state of knowledge in a particular area. The term does not include "intelligence" or other information-gathering activities in which information pertaining to specific individuals is obtained for purposes directly related to enforcement of the criminal laws.
- (d) Research or statistical information means any information which is collected during the conduct of a research or statistical project and which is intended to be utilized for research or statistical purposes. The term includes information which is collected directly from the individual or obtained from any agency or individual having possession, knowledge, or control thereof.
- (e) Information identifiable to a private person means information which either—
- (1) Is labelled by name or other personal identifiers, or
- (2) Can, by virtue of sample size or other factors, be reasonably interpreted as referring to a particular private person.
- (f) Recipient of assistance means any recipient of a grant, contract, interagency agreement, subgrant, or subcontract under the Act and any person, including subcontractors, employed by such recipient in connection with performances of the grant, contract, or interagency agreement.
- (g) Officer or employee of the Federal Government means any person employed as a regular or special employee of the U.S. (including experts, consultants, and advisory board members) as of July 1, 1973, or at any time thereafter.
- (h) The act means the Omnibus Crime Control and Safe Streets Act of 1968, as amended.
- (i) Applicant means any person who applies for a grant, contract, or subgrant to be funded pursuant to the Act.

- (j) The Juvenile Justice Act means the "Juvenile Justice and Delinquency Prevention Act of 1974, as amended."
- (k) The Victims of Crime Act means the Victims of Crime Act of 1984.

[41 FR 54846, Dec. 15, 1976, as amended at 43 FR 16974, Apr. 21, 1978; 51 FR 6400, Feb. 24, 1986]

§22.20 Applicability.

- (a) These regulations govern use and revelation of research and statistical information obtained, collected, or produced either directly by BJA, OJJDP, BJS, NIJ, or OJP or under any interagency agreement, grant, contract, or subgrant awarded under the Crime Control Act, the Juvenile Justice Act, and the Victims of Crime Act.
- (b) The regulations do not apply to any records from which identifiable research or statistical information was originally obtained; or to any records which are designated under existing statutes as public; or to any information extracted from any records designated as public.
- (c) The regulations do not apply to information gained regarding future criminal conduct.

[41 FR 54846, Dec. 15, 1976, as amended at 43 FR 16974, Apr. 21, 1978; 51 FR 6400, 6401, Feb. 24, 1986]

§22.21 Use of identifiable data.

Research or statistical information identifiable to a private person may be used only for research or statistical purposes.

§22.22 Revelation of identifiable data.

- (a) Except as noted in paragraph (b) of this section, research and statistical information relating to a private person may be revealed in identifiable form on a need-to-know basis only to—
- (1) Officers, employees, and subcontractors of the recipient of assistance;
- (2) Such individuals as needed to implement sections 202(c)(3), 801, and 811(b) of the Act; and sections 223(a)(12)(A), 223(a)(13), 223(a)(14), and 243 of the Juvenile Justice and Delinquency Prevention Act.
- (3) Persons or organizations for research or statistical purposes. Information may only be transferred for such

purposes upon a clear demonstration that the standards of §22.26 have been met and that, except where information is transferred under paragraphs (a) (1) and (2) of this section, such transfers shall be conditioned on compliance with a §22.24 agreement.

(b) Information may be revealed in identifiable form where prior consent is obtained from an individual or where the individual has agreed to participate in a project with knowledge that the findings cannot, by virtue of sample size, or uniqueness of subject, be expected to totally conceal subject identity.

[41 FR 54846, Dec. 15, 1976, as amended at 51 FR 6400, Feb. 24, 1986]

§22.23 Privacy certification.

- (a) Each applicant for BJA, OJJDP, BJS, NIJ, or OJP support either directly or under a State plan shall submit a Privacy Certificate as a condition of approval of a grant application or contract proposal which has a research or statistical project component under which information identifiable to a private person will be collected.
- (b) The Privacy Certificate shall briefly describe the project and shall contain assurance by the applicant that:
- (1) Data identifiable to a private person will not be used or revealed, except as authorized under §§ 22.21, 22.22.
- (2) Access to data will be limited to those employees having a need therefore and that such persons shall be advised of and agree in writing to comply with these regulations.
- (3) All subcontracts which require access to identifiable data will contain conditions meeting the requirements of §22.24.
- (4) To the extent required by §22.27 any private persons from whom identifiable data are collected or obtained, either orally or by means of written questionnaire, shall be advised that the data will only be used or revealed for research or statistical purposes and that compliance with requests for information is not mandatory. Where the notification requirement is to be waived, pursuant to §22.27(c), a justification must be included in the Privacy Certificate.

- (5) Adequate precautions will be taken to insure administrative and physical security of identifiable data.
- (6) A log will be maintained indicating that identifiable data have been transmitted to persons other than BJA, OJJDP, BJS, NIJ, or OJP or grantee/contractor staff or subcontractors, that such data have been returned, or that alternative arrangements have been agreed upon for future maintenance of such data.
- (7) Project plans will be designed to preserve anonymity of private persons to whom information relates, including, where appropriate, name-stripping, coding of data, or other similar procedures
- (8) Project findings and reports prepared for dissemination will not contain information which can reasonably be expected to be identifiable to a private person except as authorized under §22.22.
- (c) The applicant shall attach to the Privacy Certification a description of physical and/or administrative procedures to be followed to insure the security of the data to meet the requirements of §22.25.

[41 FR 5486, Dec. 15, 1976, as amended at 51 FR 6401, Feb. 24, 1986]

§ 22.24 Information transfer agreement.

Prior to the transfer of any identifiable information to persons other than BJA, OJJDP, BJS, NIJ, or OJP or project staff, an agreement shall be entered into which shall provide, as a minimum, that the recipient of data agrees that:

(a) Information identifiable to a private person will be used only for research and statistical purposes.

- (b) Information identifiable to a private person will not be revealed to any person for any purpose except where the information has already been included in research findings (and/or data bases) and is revealed on a need-to-know basis for research or statistical purposes, provided that such transfer is approved by the person providing information under the agreement, or authorized under §22.24(e).
- (c) Knowingly and willfully using or disseminating information contrary to the provisions of the agreement shall

constitute a violation of these regulations, punishable in accordance with the Act.

- (d) Adequate administrative and physical precautions will be taken to assure security of information obtained for such purpose.
- (e) Access to information will be limited to those employees or subcontractors having a need therefore in connection with performance of the activity for which obtained, and that such persons shall be advised of, and agree to comply with, these regulations.
- (f) Project plans will be designed to preserve anonymity of private persons to whom information relates, including, where appropriate, required namestripping and/or coding of data or other similar procedures.
- (g) Project findings and reports prepared for dissemination will not contain information which can reasonably be expected to be identifiable to a private person.
- (h) Information identifiable to a private person (obtained in accordance with this agreement) will, unless otherwise agreed upon, be returned upon completion of the project for which obtained and no copies of that information retained.

[41 FR 5486, Dec. 15, 1976, as amended at 51 FR 6401, Feb. 24, 1986]

§22.25 Final disposition of identifiable materials.

Upon completion of a research or statistical project the security of identifiable research or statistical information shall be protected by:

- (a) Complete physical destruction of all copies of the materials or the identifiable portion of such materials after a three-year required recipient retention period or as soon as authorized by law. or
- (b) Removal of identifiers from data and separate maintenance of a namecode index in a secure location.

The Privacy Certificate shall indicate the procedures to be followed and shall, in the case of paragraph (b) of this section, describe procedures to secure the name index.

§22.26 Requests for transfer of information.

- (a) Requests for transfer of information identifiable to an individual shall be submitted to the person submitting the Privacy Certificate pursuant to §22.23.
- (b) Except where information is requested by BJA, OJJDP, BJS, NIJ, or OJP, the request shall describe the general objectives of the project for which information is requested, and specifically justify the need for such information in identifiable form. The request shall also indicate, and provide justification for the conclusion that conduct of the project will not, either directly or indirectly, cause legal, economic, physical, or social harm to individuals whose identification is revealed in the transfer of information.
- (c) Data may not be transferred pursuant to this section where a clear showing of the criteria set forth above is not made by the person requesting the data.

[41 FR 5486, Dec. 15, 1976, as amended at 51 FR 6401, Feb. 24, 1986]

§22.27 Notification.

- (a) Any person from whom information identifiable to a private person is to be obtained directly, either orally, by questionnaire, or other written documents, shall be advised:
- (1) That the information will only be used or revealed for research or statistical purposes; and
- (2) That compliance with the request for information is entirely voluntary and may be terminated at any time.
- (b) Except as noted in paragraph (c) of this section, where information is to be obtained through observation of individual activity or performance, such individuals shall be advised:
- (1) Of the particular types of information to be collected:
- (2) That the data will only be utilized or revealed for research or statistical purposes; and
- (3) That participation in the project in question is voluntary and may be terminated at any time.
- (c) Notification, as described in paragraph (b) of this section, may be eliminated where information is obtained through field observation of individual activity or performance and in the

§ 22.28

judgment of the researcher such notification is impractical or may seriously impede the progress of the research.

(d) Where findings in a project cannot, by virtue of sample size, or uniqueness of subject, be expected to totally conceal subject identity, an individual shall be so advised.

§ 22.28 Use of data identifiable to a private person for judicial, legislative or administrative purposes.

- (a) Research or statistical information identifiable to a private person shall be immune from legal process and shall only be admitted as evidence or used for any purpose in any action, suit, or other judicial, legislative or administrative proceeding with the written consent of the individual to whom the data pertains.
- (b) Where consent is obtained, such consent shall:
- (1) Be obtained at the time that information is sought for use in judicial, legislative or administrative proceedings:
- (2) Set out specific purposes in connection with which information will be used:
- (3) Limit, where appropriate, the scope of the information subject to such consent.

[41 FR 54846, Dec. 15, 1976, as amended at 45 FR 62038, Sept. 18, 1980]

§22.29 Sanctions.

Where BJA, OJJDP, BJS, NIJ, or OJP believes that a violation has occurred of section 812(a) of the Act or section 1407(d) of the Victims of Crime Act, these regulations, or any grant or contract conditions entered into thereunder, it may initiate administrative actions leading to termination of a grant or contract, commence appropriate personnel and/or other procedures in cases involving Federal employees, and/or initiate appropriate legal actions leading to imposition of a fine of not to exceed \$10,000 against any person responsible for such violations.

[41 FR 54846, Dec. 15, 1976, as amended at 45 FR 62038, Sept. 18, 1980; 51 FR 6401, Feb. 24, 1986]

PART 23—CRIMINAL INTELLIGENCE SYSTEMS OPERATING POLICIES

Sec.

23.1 Purpose.

23.2 Background.

23.3 Applicability.

23.20 Operating principles.

23.30 Funding guidelines.

23.40 Monitoring and auditing of grants for the funding of intelligence systems.

AUTHORITY: 42 U.S.C. 3782(a); 42 U.S.C. 3789g(c).

SOURCE: 58 FR 48452, Sept. 16, 1993, unless otherwise noted.

§23.1 Purpose.

The purpose of this regulation is to assure that all criminal intelligence systems operating through support under the Omnibus Crime Control and Safe Streets Act of 1968, 42 U.S.C. 3711, et seq., as amended (Pub. L. 90-351, as amended by Pub. L. 91-644, Pub. L. 93-83, Pub. L. 93-415, Pub. L. 94-430, Pub. L. 94-503, Pub. L. 95-115, Pub. L. 96-157, Pub. L. 98-473, Pub. L. 99-570, Pub. L. 100-690, and Pub. L. 101-647), are utilized in conformance with the privacy and constitutional rights of individuals.

§23.2 Background.

It is recognized that certain criminal activities including but not limited to loan sharking, drug trafficking, trafficking in stolen property, gambling, extortion, smuggling, bribery, and corruption of public officials often involve some degree of regular coordination and permanent organization involving a large number of participants over a broad geographical area. The exposure of such ongoing networks of criminal activity can be aided by the pooling of information about such activities. However, because the collection and exchange of intelligence data necessary to support control of serious criminal activity may represent potential threats to the privacy of individuals to whom such data relates, policy guidelines for Federally funded projects are required.

§23.3 Applicability.

(a) These policy standards are applicable to all criminal intelligence systems operating through support under