

ICPSR 25867

Evaluation of a Multi-Site Demonstration of Collaborations to Address Domestic Violence and Child Maltreatment in the United States, 2001-2006

Janet Griffith ICF International

Case Abstractions Data Collection Instruments





Terms of Use

The terms of use for this study can be found at: http://www.icpsr.umich.edu/cocoon/ICPSR/TERMS/25867.xml

Information about Copyrighted Content

Some instruments administered as part of this study may contain in whole or substantially in part contents from copyrighted instruments. Reproductions of the instruments are provided as documentation for the analysis of the data associated with this collection. Restrictions on "fair use" apply to all copyrighted content. More information about the reproduction of copyrighted works by educators and librarians is available from the United States Copyright Office.

NOTICE WARNING CONCERNING COPYRIGHT RESTRICTIONS

The copyright law of the United States (Title 17, United States Code) governs the making of photocopies or other reproductions of copyrighted material. Under certain conditions specified in the law, libraries and archives are authorized to furnish a photocopy or other reproduction. One of these specified conditions is that the photocopy or reproduction is not to be "used for any purpose other than private study, scholarship, or research." If a user makes a request for, or later uses, a photocopy or reproduction for purposes in excess of "fair use," that user may be liable for copyright infringement.

Study 25867 -

Greenbook National Evaluation Team (NET)
Items for Revised Case Abstraction Form / FINAL Revision 3/7/2003
Cover Sheet

Purposes

- [1] To develop estimates of overall rates of co-occurrence of child maltreatment (CM) and intimate partner violence (IPV) among substantiated public child welfare cases; and
- [2] To ascertain if Greenbook implementation has affected screening for IPV among substantiated public child welfare cases.
- [3] To ascertain if Greenbook implementation has affected confidentiality procedures among substantiated child welfare cases with co-occurring CM and IPV.
- [4] To ascertain if Greenbook implementation has affected referrals to services among substantiated child welfare cases with co-occurring CM and IPV.

Operational Definitions

For the purposes of the National Evaluation, *co-occurrence* is defined as:

"A case with actual or peripheral evidence that a child is maltreated by a household member* according to the Federal 1996 Child Abuse, Prevention and Treatment Act (CAPTA) which occurs concurrent with or related to the child's parent or primary caregiver experiencing intimate partner violence."

*A household member is someone who is a regular fixture in the home of the child and the primary caregiver, such as a household resident, a current partner of the primary caregiver, or a former spouse who maintains contact with the child. The household member is defined by an emotional relationship with the child or primary caregiver, not a business relationship (e.g., childcare provider).

And *substantiation* is defined as:

"The child welfare agency has determined that maltreatment has occurred, has verified the maltreatment, and the maltreatment meets the threshold consistent with the state statute."

Variables Under Examination Across the Six Project Sites

The following are variables we intend to assess using case files:

- 1. Codes used to identify child maltreatment and child placement.
- 2. Demographic data (e.g., child's and IPV victim's age, race and gender).
- 3. Screening of intimate partner violence by CPS staff.
- 4. Relationship of perpetrator to IPV victim and child maltreatment victim.
- 5. Confidentiality procedures (e.g., presence of a signed consent form or other documentation noting confidentiality protocols).
- 6. Referrals for victims of IPV, victims of child maltreatment and IPV perpetrators.

Sample Size, Frame and Procedures

- The sample will be randomly drawn from all cases where the child welfare agency substantiated child maltreatment.
 - o Time 1: Cases where child maltreatment was substantiated in 2001
 - o Time 2: Cases where child maltreatment was substantiated in 2003
- Each site will randomly sample 25% of these cases, stratified by whether the case was substantiated child maltreatment in the first six months of the calendar year or the second six months of the calendar year.
 - O The minimum sample size for each data collection point is 75, so sites whose 25% sample results in an N less than 75 will randomly sample 75 cases, rather than 25% (or abstract data from all cases opened in the calendar year if desired).
 - o The maximum sample size is 150, so sites whose 25% sample results in an N greater than 150 will randomly sample 150 cases, rather than 25%.

Classification Criteria

All cases (universe or sample) will be reviewed for which the following facts are true:

- Child Maltreatment (CM) was substantiated by the child welfare agency.
- Child maltreatment was substantiated during [Time 1]/[Time 2]

Additional data elements will be records for cases where there is co-occurring IPV defined by the following:

- Victim of IPV must be child's parent or primary caregiver.
- Incidents of child maltreatment and intimate partner violence must occur within one year of each other

- Study 25867 -

Greenbook National Evaluation Team (NET)
Items for Revised Case Abstraction Form / FINAL Revision 3/7/2003
Page 1 of 5

	Items for Rev	rised Case Abstraction Page	on For e 1 of :		ision 3/7/20	003	
LO	CAL CASE ID # (BLACKOUT BEFORE SU	JBMITTING TO CALIBER	.)	FILE REVIEW #			
COI	JNTY/SITE	DATE OF FILE REVIEW	_/	/	CODER IN	IITIALS	
	HILD MALTREATMENT Of stract data from the current inciden			Y)			
1	Child Maltreatment substantiated	by child welfare agency	y?		Yes		No
2	New case opened and substantiate	=			Yes		No
3	If case does not meet these two cr a Date of occurrence of most re b Date of initial report to child v c Date child welfare substantiat	cent incident of child n	_	•		/_	/ _/ _/
4	Type of Child Maltreatment (Sel-	ect all that apply):			Physical Ab Sexual Abu Neglect (fai Neglect (fai Neglect (oth Missing or Other (expl	ise ilure to pr ilure to su ilure to pr her) Unknown	pervise) rotect)
5	Relationship of child maltreatme maltreatment victim (Select one)			Child's biologic Spouse of biolo Boy/girlfriend of Child's primary biological parer Missing or Unk Other (explain a	gical parent of biological caregiver (int) nown		caregiver is not
6	Child Maltreatment Victim Birth	Date			//	<u>'</u>	
7	Child Maltreatment Victim Gend	er		Male	Female nown		
8	Child Maltreatment Victim Race (race/ethnicity codes may be refined to the codes of the codes may be refined to the codes of the codes o			Hispanic Black, Non-His White, Non-His Asian and Pacif Alaskan and Na Bi-/multi- racia Missing or Unk Other (explain a	spanic ic Islander tive America I/ Mixed nown	an	
9	Was the child removed from the an "emergency" or "crisis" basis			Yes No Missing or Unk	nown		

- Study 25867 -

Greenbook National Evaluation Team (NET)
Items for Revised Case Abstraction Form / FINAL Revision 3/7/2003
Page 2 of 5

	Page 2 of 5						
LOC.	AL CASE ID # (BLACKOUT BEFORE SUBMITTING TO CALIBER)	FILE REVIEW #					
SC1 10	REENING OF IPV BY CPS STAFF How was IPV assessed during the course of the investigation Standard Question on Intake Question asked during interview with the CM victim(s) Question asked during interview with the prima caregiver (whether IPV victim or other) Other (explain below)	Indicate date IPV identified? // ☐ Yes ☐ No //_ ☐ Yes ☐ No					
11	Indicate other documentation of IPV in the file (check all that Restraining Order Hospital Records relating to IPV Police report of 911 records with IPV indicated Victim Disclosure Communication with DV advocate Use of IPV services/programs Psychiatric or other mental health evaluation recorded to the Company of the Com	eferencing IPV					
If th	OR: There was no indication of other IPV docume ere is no documentation of IPV in 10 or 11 above, file review						
	IPV CHARACTERISTICS (Abstract data from the most recent incident of IPV ONLY) 12 Victim of Intimate Partner Violence (Select One): Child's biological parent Spouse of biological parent Boy/girlfriend of biological parent Child's primary caregiver (if primary caregiver is not biological parent) Missing or Unknown Other (explain at left)						
	If victim or perpetrator of IPV is NOT the child's primary	caregiver, file review concluded at this point.					
13 14	 a. Date of most recent incident of intimate partner violence b. If date of most recent incident is missing, does IPV appearactive or to have occurred within the past year? If most recent IPV incident is NOT within a year of the curabstracted in item 3a (if 3a is missing, compare 13a to other OR If "NO" is checked in 13b file review concluded at this provided of Intimate Partner Violence Birth Date 	rrent child maltreatment incident (Compare 13a with date or dates in item 3)					
15	Victim of Intimate Partner Violence Gender	☐ Male ☐ Female ☐ Missing or Unknown					

- Study 25867 -Greenbook National Evaluation Team (NET) Items for Revised Case Abstraction Form / FINAL Revision 3/7/2003 Page 3 of 5

LOC.	AL CASE ID # (BLACKOUT BEFORE SUBMITTING TO CALIBER)		FILE REVIEW #
16	Victim of Intimate Partner Violence Race/Ethnicity		Hispanic Black, Non-Hispanic White, Non-Hispanic Asian and Pacific Islander Alaskan and Native American Bi-/multi- racial/ Mixed Missing or Unknown Other (explain at left)
17	Relationship of IPV perpetrator to IPV victim (Select one)		Spouse Ex-spouse Boy/girlfriend Former boy/girlfriend Missing or Unknown Other (explain at left)
18	Relationship of IPV perpetrator to child maltreatment victim (Select one)		Child's biological parent Spouse of biological parent Boy/girlfriend of biological parent Child's primary caregiver (if primary caregiver is not biological parent) Partner of child's primary caregiver (if primary caregiver is not biological parent) Missing or Unknown Other (explain at left)
CO	NFIDENTIALITY PROCEDURES		
19	Presence of consent form , <u>completed</u> and <u>signed</u> by chil information can be shared and with whom (or which Yes No (form present or discussed but NO)	n age	encies)?
20	Presence of other written document(s) , <u>completed</u> and information can be shared and with whom? Yes No (form present or discussed but NO)		_
21	Reference in the files of consent forms or confidentiality	forn	n or forms existing in a location other than the "case
	record"? Yes No		☐ Missing or Unknown
22	If "yes" " to questions 19, 20, or 21 indicate where these	forn	n(s) are maintained:

- Study 25867 -Greenbook National Evaluation Team (NET) Items for Revised Case Abstraction Form / FINAL Revision 3/7/2003 Page 4 of 5

LOCAL CASE ID # (BLACKOUT BEFORE SUBMITTING TO CALIBER)	FILE REVIEW #

PEFEDDALS FROM CW ACENCY TO SERVICES FOR CURRENT INCIDENT OF CM/IPV

KŁ	FERRALS FROM CW AGENCY TO S	Referral To:				INCIDENT	
		Perpetra	ator of:		im of:	Family (At least	Documented part of
Ref	erral Type:	IPV	CM	IPV	CM	child & primary caregiver)	service plan?
	vices subsidized by child welfare:					<u> </u>	
23	Agency IPV Specialist						
24	IPV Shelter						
25	IPV Non-residential treatment/counseling						
26	Victim witness services						
27	Batterer intervention program						
28	Other counseling related to co-occurrence (list each below):	_	_		_		
	a						
	b						
	c						
29	Other subsidized services (list each below):						
	a						
	b						
	c						
Ser	vices not subsidized by child welfare:						
30	IPV Shelter						
	IPV Non-residential treatment/counseling						
31	Law enforcement		ΙШ	ΙШ			
32	IPV court intake and/or services related IPV incident (e.g., Orders of Protection, divorce, etc).						
33	Victim witness services			Ιп	П		
34	Batterer intervention program						
35	Other treatment/counseling related to co- occurrence (list below):						
	a						
	b						
	С						
36	Other (list below)						
	a						
	b						
	c						
37	Missing or unknown						
38	No referrals made by child welfare						

- Study 25867 -

Greenbook National Evaluation Team (NET)
Items for Revised Case Abstraction Form / FINAL Revision 3/7/2003
Page 5 of 5

LOCAL CASE ID # (BLACKOUT BEFORE SUBMITTING TO CALIBER)	FILE REVIEW #

ADDITIONAL NARRATIVE INFORMATION (NOT REQUIRED)

39	In a sentence or two, note any additional information about this case that might better inform the National Evaluation Team about the evidence of co-occurrence in the CM case files in your site.

			LOCAL CA	SE ID	FILE REVIEW #					
COI	UNTY/SITE	DATE OF			CODER INITIALS	TYPE OF R	EVIEW			
		FILE REVIEW	/	/	CODER INVITALES	☐ ELECTE		☐ PAPER	□ вотн	☐ OTHER
		ABSTRACT					COD	ER CLAI	RIFICATI	<u>ON</u>
				CTERISTICS	<u> </u>					
1.	child welfa	re agency?	antiated by the	☐ YES	□ NO				OR Item 2, d <i>replace c</i>	
2.	New case 6 2003?	opened and sub	stantiated in	☐ YES	□ NO		Substantiation: The child welfare			
3.	Date most recent child maltreatment incident A. Occurred B. Initially reported to				C. Substantiated by CW agency has determined has occurred, has verifing maltreatment, and the meets the threshold constate statute.				mined that is verified the difference of the maltre	maltreatment e eatment
4.	Phy Sex Neg Neg Neg Neg Neg Meg	ild maltreatme sical Abuse ual Abuse glect (failure to glect (failure to glect (failure to glect (other) ssing or Unkno er (explain at r	supervise) protect) wn	t apply)			applica neglect Failur clothin and add Failur absence Failur	able. If gent, check "Net to provide g, nutrition equate or ceto super e or inabile to protect	neral or other Neglect" onlande. Basic ne n, education Clean housing vise. Includity to care f	ly. eeds such as n, medical, ng. es caretaker for child. y site. Please
5.	that apply): Child's biological parent Spouse of biological parent Other relative				ary caregiver ve of child child sees regularl	Primary caregiver. If other than primary parent. Non-relative child sees regularly. Family friend, partner of relative, babysitter, etc.				
6.	Child malt	reatment perpe	trator gender Female		Missing or Unk	nown	more th	han one ch	oply. If then seck in a bo stead of ch	x, write the
7.	Child malt	reatment victin	n birth date	/_	/		than or	ne CM vici	<i>pply</i> . If theretim. Code a	all DM
8.	Child malt	reatment victin	n gender ☐ Female		Missing or Unki	nown	day an	d month o	on for the vi f birth date onth of file	
9.	Hispan Black, White,			Alaskaı Multi-r	or Pacific Islander n or Native Americ acial g or Unknown	an				

LOCAL CASE ID	FILE REVIEW #

ITEMS FOR ABSTRACTION	CODER CLARIFICATION
10. Was child removed from home? YES NO UNKNOWN	Check all that apply
If yes, removal reason: Temporary/ for emergency or crisis only Permanent Missing or unknown Other: To where removed: Foster care Institutional residence Missing or unknown Other:	Permanent removal is defined as the termination of parental rights.
11. Was a safety or risk assessment YES NO Missing/Unknown conducted?	
If yes, please indicate the information source for the risk assessment (CPS checklist, within the narrative of CPS report):	
12. Household risk factors Risk factor attributed to:	Check all that apply. Code:
a. Substance abuse b. Domestic violence c. Mental health d. Employment e. Legal/criminal f. Financial stress g. Physical illness/ disability h. Other (Specify):	If risk factor present. If risk factor present and this person is the CM perpetrator. Risk factors are defined by the child welfare agency or classified as such in the child welfare case file. A risk factor would support the child maltreatment charge and/or would require attention as a documented part of the case plan. Employment risk factors include both sporadic employment and long-term unemployment.
IDENTIFICATION OF IPV	
13. How was IPV assessed during the course of the investigation or during interviews? Standard question on intake IPV identified?	This question implies <i>active</i> identification of IPV by CPS staff.
Indicate date// Yes \[\subseteq No \] Unknown	
☐ Question asked during interview with CM victim(s) Indicate date / / ☐ Yes ☐ No ☐ Unknown	Check all active screening activities that apply.
Question asked during interview with primary caregiver (IPV victim or other) Indicate date// Yes No Unknown Other (Specify):	If active screening occurred, indicate date and whether IPV was identified through active screening.
Indicate date// Yes No Unknown	If more than one date listed, record date closest to the child maltreatment initial
OR: There was no indication of <i>active</i> CPS screening for IPV.	report date (Item 3b)
14. Other documentation of IPV in the file. Restraining order Victim disclosure	This question implies <i>passive</i> identification of IPV by CPS staff.
☐ Hospital records related to IPV ☐ Police report of 911 records with ☐ Use of IPV services/programs ☐ Description of IPV services programs	Check all passive identification sources/activities that apply.
IPV indicated ☐ Psychiatric or other mental health ☐ Other (Specify): evaluation referencing IPV	**If there is no indication of IPV from
OR: There was no indication of <i>passive</i> identification or other documentation of IPV.	items 12 or 13, file review is concluded at this point.**

LOCAL CASE ID	FILE REVIEW #

ITEMS FOR ABSTRACTION	CODER CLARIFICATION
IPV CHARACTERISTICS	
15. Relationship of IPV Victim to CM victim Child's biological parent Spouse of biological parent Boy/girlfriend of biological parent Other (Specify): Child's primary caregiver Other relative of child Non-relative child sees regularly Missing or Unknown	**If IPV victim is not the child's biological parent or caregiver, file review is concluded at this point.**
16. Date of most recent incident of IPV If date of most recent incident is missing, does IPV appear to be active or to have occurred within one year of the CM incident described reported in item 3 above? Yes No Unknown	** If IPV is <u>not</u> within a year of the current child maltreatment incident reported in Item 3, file review concluded at this point.**
17. Is the IPV victim the same as the CM perpetrator? Yes	Code information on the IPV victim/incident best fits the definition of co-occurrence: IPV of child's biological parent within a year of child maltreatment.
19. IPV victim birth date/	
20. Victim of IPV race/ethnicity Hispanic Black, Non-Hispanic White, Non-Hispanic Other (Specify): Asian or Pacific Islander Alaskan or Native American Multi-racial Missing or Unknown	
21. Relationship of IPV perpetrator to IPV victim Spouse Ex-spouse Other (Specify): Boy/girlfriend Former boy/girlfriend Missing or Unknown	
22. Is the IPV perpetrator the same as the CM perpetrator? Yes Sole CM perpetrator Unknown Along with another CM perpetrator	
23. IPV perpetrator gender	
Hispanic	
25. Relationship of IPV perpetrator to CM victim Child's biological parent Spouse of biological parent Boy/girlfriend of biological parent Other (Specify): Child's primary caregiver Partner of child's primary caregiver Other relative of child Non-relative child sees regularly Missing or Unknown	Primary caregiver. If other than biological parent. Non-relative child sees regularly. Family friend, partner of relative, babysitter, etc.

LOCAL CASE ID	FILE REVIEW #

ITEMS FOR ABSTRACTION		CODER CLARIFICATION					
CONFIDENTIALITY PROCEDURES							
26. Presence of consent form , <u>completed</u> and <u>signed</u> by child's primar		☑ No If form is present or discussed					
IPV VICTIM outlining what information can be shared and with w	vhom?	but NOT completed and signed.					
☐ Yes ☐ No	Unknown						
27. Presence of other written document(s) , <u>completed</u> and <u>signed</u> , by	y child's primary						
caregiver stating what information can be shared and with whom?							
☐ Yes ☐ No	Unknown						
28. Reference in the files of consent forms or confidentiality form or f							
location other than the "case record"?							
☐ Yes ☐ No	Unknown						
29. Where are forms maintained?		Fill in if ☑ Yes to any of Items 26, 27,					
		28					

LOCAL CASE ID	FILE REVIEW #

REFERRALS AND SERVICES FROM CW AGENCY FOR CURRENT INCIDENTS OF CM AND IPV

CODER CLARIFICATION

Check all that apply.

Please place a 'M' in the check box if any information is missing, or if you are unable to locate relevant data.

Subsidized services and referrals are provided by or paid for by child welfare.

Family includes at least the child and the primary caregiver. This column should only be used if one of the preceding columns for specific perpetrators and victims cannot be used.

No referrals made by child welfare. Use this row only if there is no evidence of any referrals or services for that column (e.g., Perpetrator of IPV) in the case file

Use the back of this page to provide any additional narrative information to describe the identification of and response to co-

occurrence in this case.							
	Referral/Service For:						
	Perpetrator of:		Victim of:			D	Subsidized
Referral/Service Type:	IPV	CM	IPV	CM	Family	Documented part of plan?	by CW?
30. Agency IPV Specialist							
31. IPV Shelter							
32. IPV Non-residential treatment/counseling							
33. Victim witness services							
34. Law enforcement							
35. IPV court intake and/or services related IPV incident (e.g., Orders of Protection, divorce, etc).							
36. Batterer intervention program							
37. Other subsidized services (list each below):							
a							
b							
с							
d							
38. Unknown							
39. No referrals made by child welfare							