ICPSR 22180

Assessing Punitive and Cooperative Strategies of Corporate Crime Control for Select Companies Operating in 1995 Through 2000 [United States]

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Administrative and Judicial Docket Case Settlement Data Codebook

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ICPSR CODEBOOK NOTES
Part 13: Administrative and Judicial Docket Case Settlement Data

1) To protect respondent privacy, certain identifying information is restricted from general dissemination. Specifically, some variables that facilitate the identification of companies and/or individuals are restricted from general dissemination. Users interested in obtaining these data must complete a Restricted Data Use Agreement form and specify the reasons for the request. A copy of the Restricted Data Use Agreement form can be requested by calling 800-999-0960. Researchers can also download this form as a Portable Document Format (PDF) file from the download page associated with this dataset.

   Completed forms should be returned to: Director, National Archive of Criminal Justice Data, Inter-university Consortium for Political and Social Research, Institute for Social Research, P.O. Box 1248, University of Michigan, Ann Arbor, MI 48106-1248, or by fax: 734-647-8200.

2) ICPSR masked or edited some variables in the downloadable version of this dataset due to confidentiality concerns. Specifically ICPSR masked the STNM "SETTLEMENT NAME" variable and replaced case numbers with generic identifying information in the CASENU "CASE NUMBER" variable.

   The original content of these variables is available through our restricted access procedures (see note 1 above).

3) All parts in this data collection except the Administrative and Judicial Docket Case Settlement Data (Part 13), Criminal Case Data (Part 14), and the Vignette Data (Part 17) can link using some combination of the PERMIT, FACILITY, and COMPANY variables. However, secondary users can link the Administrative and Judicial Docket Case Data (Part 12) with the Administrative and Judicial Docket Case Settlement Data (Part 13) using the CASENU "CASE NUMBER" variable in both the downloadable version and restricted version of this dataset.

4) ICPSR added variable labels to the CASENU, SMNO, STNM, DSIE, CPLT, CSEP, CACT, CAPT, COMPACT1, COMPACT2, COMPACT3, COMPACT4, COMPACT5, COMPACT6, COMPACT7, COMPACT8, COMPACT9, COMPACT0, and DFNO variables in the dataset based upon information contained in the original codebook provided by the principal investigators. The original codebook is available in the publicly available Data Documentation file.

   Users should be aware that minor differences may exist between the original codebook and the data. For example, the CASENU "CASE NUMBER" variable is contained in the Administrative and Judicial Docket Case Settlement Data, however the variable is not listed in settlement data section of the original codebook.

5) Users should be aware that this data collection may contain undocumented codes. These codes were not labeled by the principal investigator nor were they labeled by

ICPSR.

6) ICPSR created the CASEID variable, which is a unique identifier. The datasets cannot be linked using the CASEID variable.

7) Because Stata does not permit value labels to be attached to non-integers, values in the following variables have not been assigned value labels in the Stata files for this data collection. Value labels for values in the following variables are contained in the codebook, however, as well as in the SAS and SPSS files.

ACTY   "RECODE TO ADD VALUE LABELS"

COMPACT1   "COMPLIANCE ACTION TAKEN - 1"

COMPACT2   "COMPLIANCE ACTION TAKEN - 2"

COMPACT3   "COMPLIANCE ACTION TAKEN - 3"

COMPACT4   "COMPLIANCE ACTION TAKEN - 4"

COMPACT5   "COMPLIANCE ACTION TAKEN - 5"

COMPACT6   "COMPLIANCE ACTION TAKEN - 6"

COMPACT7   "COMPLIANCE ACTION TAKEN - 7"

COMPACT8   "COMPLIANCE ACTION TAKEN - 8"

COMPACT9   "COMPLIANCE ACTION TAKEN - 9"

COMPACT0   "COMPLIANCE ACTION TAKEN - 0"

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Variable Description and Frequencies

Note: Frequencies displayed for the variables are not weighted. They are purely descriptive and may not be representative of the study population. Please review any sampling or weighting information available with the study.

Summary statistics (minimum, maximum, mean, median, and standard deviation) may not be available for every variable in the codebook. Conversely, a listing of frequencies in table format may not be present for every variable in the codebook either. However, all variables in the dataset are present and display sufficient information about each variable. These decisions are made intentionally and are at the discretion of the archive producing this codebook.

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Administrative and Judicial Docket Case Settlement Data

CASEID	UNIQUE CASE IDENTIFIER CREATED BY ICPSR
Location:	1-8 (width: 8; decimal: 0)
Variable Type:	numeric
	Based upon 36 valid cases out of 36 total cases.

CASENU	CASE NUMBER
Location:	9-24 (width: 16; decimal: 0)
Variable Type:	character
	Based upon 36 valid cases out of 36 total cases.

SMNO	SETTLEMENT NUMBER
Location:	25-35 (width: 11; decimal: 0)
Variable Type:	character
	Based upon 36 valid cases out of 36 total cases.

STNM	SETTLEMENT NAME
Location:	36-108 (width: 73; decimal: 0)
Variable Type:	character

Value	Unweighted Frequency	%
Masked	36	100.0 %

Based upon 36 valid cases out of 36 total cases.

ACTY	RECODE TO ADD VALUE LABELS
Location:	109-116 (width: 8; decimal: 0)
Variable Type:	character

Value	Label	Unweighted Frequency	%
A	Consent decree or court order	9	25.0 %
B	Administrative penalty order with or w/o injunctive relief	7	19.4 %
F	Administrative compliance orders	20	55.6 %

Based upon 36 valid cases out of 36 total cases.

DSIE	DATE FINAL ORDER ISSUED
Location:	117-127 (width: 11; decimal: 0)
Variable Type:	character

Based upon 36 valid cases out of 36 total cases.

CPLT	FEDERAL PENALTY ASSESSED

Location:	128-141 (width: 14; decimal: 0)
Variable Type:	character
	Based upon 36 valid cases out of 36 total cases.

CSEP	SUPPLEMENTAL ENVIRONMENTAL PROJECT COST

Location:	142-155 (width: 14; decimal: 0)
Variable Type:	character
	Based upon 36 valid cases out of 36 total cases.

CACT	COMPLIANCE ACTION PHYSICAL COST

Location:	156-169 (width: 14; decimal: 0)
Variable Type:	character
	Based upon 36 valid cases out of 36 total cases.

CAPT	COMPLIANCE ACTION NON-PHYSICAL COST

Location:	170-183 (width: 14; decimal: 0)
Variable Type:	character
	Based upon 36 valid cases out of 36 total cases.

COMPACT1	COMPLIANCE ACTION TAKEN - 1

Location:	184-186 (width: 3; decimal: 0)
Variable Type:	character

Value	Label
ACC	Provide Site Access
AUD	Auditing
EDC	Emissions/Discharge Change
INF	Information Letter Response
IPC	Industrial Process Change
LAB	Labeling/Manifesting
MTS	Monitoring/Sampling
NOA	No Action/Penalty Only
OTH	Other
PER	Permit Application
RDR	Remedial Design/Remedial Action
REC	Record Keeping
REM	Remediation
REP	Reporting
RES	Restoration

Value	Label
RIF	Remedial Investigation/Feasibility Study
RML	Removal
SAS	Site Assessment
SDC	Storage/Disposal Change
TES	Testing
TRN	Training
USE	Use Reduction

* Frequencies not displayed for this variable.

Based upon 36 valid cases out of 36 total cases.

COMPACT2	**COMPLIANCE ACTION TAKEN - 2**

Location: 187-189 (width: 3; decimal: 0)

Variable Type: character

Value	Label
ACC	Provide Site Access
AUD	Auditing
EDC	Emissions/Discharge Change
INF	Information Letter Response
IPC	Industrial Process Change
LAB	Labeling/Manifesting
MTS	Monitoring/Sampling
NOA	No Action/Penalty Only
OTH	Other
PER	Permit Application
RDR	Remedial Design/Remedial Action
REC	Record Keeping
REM	Remediation
REP	Reporting
RES	Restoration
RIF	Remedial Investigation/Feasibility Study
RML	Removal
SAS	Site Assessment
SDC	Storage/Disposal Change
TES	Testing
TRN	Training
USE	Use Reduction

* Frequencies not displayed for this variable.

Based upon 36 valid cases out of 36 total cases.

COMPACT3	**COMPLIANCE ACTION TAKEN - 3**

Location: 190-192 (width: 3; decimal: 0)
Variable Type: character

Value	Label
ACC	Provide Site Access
AUD	Auditing
EDC	Emissions/Discharge Change
INF	Information Letter Response
IPC	Industrial Process Change
LAB	Labeling/Manifesting
MTS	Monitoring/Sampling
NOA	No Action/Penalty Only
OTH	Other
PER	Permit Application
RDR	Remedial Design/Remedial Action
REC	Record Keeping
REM	Remediation
REP	Reporting
RES	Restoration
RIF	Remedial Investigation/Feasibility Study
RML	Removal
SAS	Site Assessment
SDC	Storage/Disposal Change
TES	Testing
TRN	Training
USE	Use Reduction

* Frequencies not displayed for this variable.

Based upon 36 valid cases out of 36 total cases.

COMPACT4	COMPLIANCE ACTION TAKEN - 4

Location: 193-195 (width: 3; decimal: 0)
Variable Type: character

Value	Label
ACC	Provide Site Access
AUD	Auditing
EDC	Emissions/Discharge Change
INF	Information Letter Response
IPC	Industrial Process Change
LAB	Labeling/Manifesting
MTS	Monitoring/Sampling
NOA	No Action/Penalty Only
OTH	Other

Value	Label
PER	Permit Application
RDR	Remedial Design/Remedial Action
REC	Record Keeping
REM	Remediation
REP	Reporting
RES	Restoration
RIF	Remedial Investigation/Feasibility Study
RML	Removal
SAS	Site Assessment
SDC	Storage/Disposal Change
TES	Testing
TRN	Training
USE	Use Reduction

* Frequencies not displayed for this variable.

Based upon 36 valid cases out of 36 total cases.

COMPACT5	COMPLIANCE ACTION TAKEN - 5

Location: 196-198 (width: 3; decimal: 0)

Variable Type: character

Value	Label
ACC	Provide Site Access
AUD	Auditing
EDC	Emissions/Discharge Change
INF	Information Letter Response
IPC	Industrial Process Change
LAB	Labeling/Manifesting
MTS	Monitoring/Sampling
NOA	No Action/Penalty Only
OTH	Other
PER	Permit Application
RDR	Remedial Design/Remedial Action
REC	Record Keeping
REM	Remediation
REP	Reporting
RES	Restoration
RIF	Remedial Investigation/Feasibility Study
RML	Removal
SAS	Site Assessment
SDC	Storage/Disposal Change
TES	Testing

Value	Label
TRN	Training
USE	Use Reduction

* Frequencies not displayed for this variable.

Based upon 36 valid cases out of 36 total cases.

COMPACT6	**COMPLIANCE ACTION TAKEN - 6**

Location: 199-201 (width: 3; decimal: 0)

Variable Type: character

Value	Label
ACC	Provide Site Access
AUD	Auditing
EDC	Emissions/Discharge Change
INF	Information Letter Response
IPC	Industrial Process Change
LAB	Labeling/Manifesting
MTS	Monitoring/Sampling
NOA	No Action/Penalty Only
OTH	Other
PER	Permit Application
RDR	Remedial Design/Remedial Action
REC	Record Keeping
REM	Remediation
REP	Reporting
RES	Restoration
RIF	Remedial Investigation/Feasibility Study
RML	Removal
SAS	Site Assessment
SDC	Storage/Disposal Change
TES	Testing
TRN	Training
USE	Use Reduction

* Frequencies not displayed for this variable.

Based upon 36 valid cases out of 36 total cases.

COMPACT7	**COMPLIANCE ACTION TAKEN - 7**

Location: 202-204 (width: 3; decimal: 0)

Variable Type: character

Value	Label
ACC	Provide Site Access

Value	Label
AUD	Auditing
EDC	Emissions/Discharge Change
INF	Information Letter Response
IPC	Industrial Process Change
LAB	Labeling/Manifesting
MTS	Monitoring/Sampling
NOA	No Action/Penalty Only
OTH	Other
PER	Permit Application
RDR	Remedial Design/Remedial Action
REC	Record Keeping
REM	Remediation
REP	Reporting
RES	Restoration
RIF	Remedial Investigation/Feasibility Study
RML	Removal
SAS	Site Assessment
SDC	Storage/Disposal Change
TES	Testing
TRN	Training
USE	Use Reduction

* Frequencies not displayed for this variable.

Based upon 36 valid cases out of 36 total cases.

COMPACT8	COMPLIANCE ACTION TAKEN - 8

Location: 205-207 (width: 3; decimal: 0)
Variable Type: character

Value	Label
ACC	Provide Site Access
AUD	Auditing
EDC	Emissions/Discharge Change
INF	Information Letter Response
IPC	Industrial Process Change
LAB	Labeling/Manifesting
MTS	Monitoring/Sampling
NOA	No Action/Penalty Only
OTH	Other
PER	Permit Application
RDR	Remedial Design/Remedial Action
REC	Record Keeping

Value	Label
REM	Remediation
REP	Reporting
RES	Restoration
RIF	Remedial Investigation/Feasibility Study
RML	Removal
SAS	Site Assessment
SDC	Storage/Disposal Change
TES	Testing
TRN	Training
USE	Use Reduction

* Frequencies not displayed for this variable.

Based upon 36 valid cases out of 36 total cases.

COMPACT9	COMPLIANCE ACTION TAKEN - 9

Location: 208-210 (width: 3; decimal: 0)
Variable Type: character

Value	Label
ACC	Provide Site Access
AUD	Auditing
EDC	Emissions/Discharge Change
INF	Information Letter Response
IPC	Industrial Process Change
LAB	Labeling/Manifesting
MTS	Monitoring/Sampling
NOA	No Action/Penalty Only
OTH	Other
PER	Permit Application
RDR	Remedial Design/Remedial Action
REC	Record Keeping
REM	Remediation
REP	Reporting
RES	Restoration
RIF	Remedial Investigation/Feasibility Study
RML	Removal
SAS	Site Assessment
SDC	Storage/Disposal Change
TES	Testing
TRN	Training
USE	Use Reduction

* Frequencies not displayed for this variable.

Based upon 36 valid cases out of 36 total cases.

COMPACT0	COMPLIANCE ACTION TAKEN - 0

Location:	211-213 (width: 3; decimal: 0)
Variable Type:	character

Value	Label
ACC	Provide Site Access
AUD	Auditing
EDC	Emissions/Discharge Change
INF	Information Letter Response
IPC	Industrial Process Change
LAB	Labeling/Manifesting
MTS	Monitoring/Sampling
NOA	No Action/Penalty Only
OTH	Other
PER	Permit Application
RDR	Remedial Design/Remedial Action
REC	Record Keeping
REM	Remediation
REP	Reporting
RES	Restoration
RIF	Remedial Investigation/Feasibility Study
RML	Removal
SAS	Site Assessment
SDC	Storage/Disposal Change
TES	Testing
TRN	Training
USE	Use Reduction

* Frequencies not displayed for this variable.

Based upon 36 valid cases out of 36 total cases.

DFNO	NUMBER OF DEFENDANTS PER SETTLEMENT

Location:	214-224 (width: 11; decimal: 0)
Variable Type:	character

Based upon 36 valid cases out of 36 total cases.